two episodes of SARS-CoV-2 infection 2 3 Running title: SARS-CoV-2 reinfection in Brazil 4 5 6 **Authors** Natalia Fintelman-Rodrigues^{1,9}, Aline de Paula Dias Da Silva^{1,9}, Monique Cristina dos 7 Santos^{1,9}, Felipe B. Saraiva², Marcelo Alves Ferreira⁹, João Gesto^{2,9}, Danielle A.S. Rodrigues³, 8 André Macedo Vale³, Isaclaudia Gomes de Azevedo¹, Vinícius Cardoso Soares¹, Hui Jiang⁵, 9 Hongdong Tan⁵, Diogo A. Tschoeke⁶, Carolina Q. Sacramento^{1,2}, Fernando A. Bozza^{7,8}, Carlos 10 M. Morel⁹, Patrícia T. Bozza¹, Thiago Moreno L. Souza^{1,9} 11 12 13 Affiliations 1-Laboratório de Imunofarmacologia, Instituto Oswaldo Cruz (IOC), Fundação Oswaldo Cruz 14 15 (Fiocruz), Rio de Janeiro, RJ, Brazil. 2-Instituto de Tecnologia em Imunobiológicos (Bio-Manguinhos), Fiocruz, Rio de Janeiro, RJ, 16 17 Brazil 3-Laboratório de Biologia de Linfócitos - LBL, Instituto Biofísica, Universidade Federal do 18 19 Rio de Janeiro, Rio de Janeiro - RJ - Brazil 20 4-Programa de Imunologia e Inflamação, Universidade Federal do Rio de Janeiro, UFRJ, Rio de Janeiro, RJ, Brazil. 21 5- MGI Tech Co., Ltd., Building No.11, Beishan Industrial Zone, Yantian District, Shenzhen 22 518083, China 23 6- SAGE/COPPE, UFRJ, Rio de Janeiro, RJ, Brazil. 24 7-Instituto Nacional de Infectologia Evandro Chagas, (INI), Fundação Oswaldo Cruz (Fiocruz), 25 26 Rio de Janeiro, RJ, Brazil. 27 8-D'Or Institute for Research and Education 9-National Institute for Science and Technology on Innovation in Diseases of Neglected 28 Populations (INCT/IDPN), Center for Technological Development in Health (CDTS), Fiocruz, 29 Rio de Janeiro, RJ, Brazil. 30 31 Corresponding author: Thiago Moreno Lopes e Souza, PhD 32

Viral genetic evidence and host immune response of a small cluster of case reports with

33	Fundação Oswaldo Cruz (Fiocruz)
34	Centro de Desenvolvimento Tecnológico em Saúde (CDTS)
35	Instituto Oswaldo Cruz (IOC)
36	Pavilhão Osório de Almeida, sala 16
37	Av. Brasil 4365, Manguinhos, Rio de Janeiro - RJ, Brasil, CEP 21060340
38	Tel.: +55 21 2562-1311
39	Email: tmoreno@cdts.fiocruz.br
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
54	
55	
56	
57	
58	
59	
60	
61	
62	
63	
64	

66

67 Abstract

Background: The dynamics underlying severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) reinfection remains poorly understood. We added to the registered case reports of reinfection in USA, Belgium/Netherlands, Ecuador and Hong Kong, a small cluster of individuals with two episodes of 2019 coronavirus disease (COVID-19). Virus genomic analysis and the host immune response were used to characterize this group.

73

Methods: Four individuals from Rio de Janeiro, Brazil, with clinical manifestations of COVID-19 on March and again in late May of 2020 were studied. Nasopharyngeal swabs were collected for RT-PCR and viral genome sequencing (BGI-MGI-2000). Plasma samples from the acute and convalescent phases of both infection episodes were accessed to document innate and humoral responses.

79

Findings: After approximately 60 days of the first diagnostic episode of SARS-CoV-2 infection, the four individuals presented new clinical and molecular evidence of COVID-19. Complete SARS-CoV-2 genome sequence provided genetic evidence of reinfection. The individuals presented an enhanced innate response compared to healthy SARS-CoV-2 negative controls. Patients did not develop a neutralizing humoral immunity, possibly remaining susceptible to another episode of COVID-19. The second episode, associated with higher viral loads and clinical symptoms, likely boosted their anti-SARS-CoV-2 humoral response.

87

Interpretation: SARS-CoV-2 reinfection was fully documented by identification of genetically distinct virus sequences in the first and second episodes for two individuals. The quantity of SARS-CoV-2-associated genetic reads and coverage of virus genome ruled out that the initial RT-PCR results were false positive. The identification that some individuals with mild COVID-19 may have controlled SARS-CoV-2 replication without developing detectable humoral immunity, opens the possibility that reinfection may be more frequent than supposed – but weakly documented.

Funding: This work was supported by Conselho Nacional de Desenvolvimento Científico e
Tecnológico (CNPq), Fundação de Amparo à Pesquisa do Estado do Rio de Janeiro (FAPERJ).
This study was financed in part by the Coordenação de Aperfeiçoamento de Pessoal de Nível
Superior - Brasil (CAPES) - Finance Code 001. Funding was also provided by CNPq, CAPES
and FAPERJ through the National Institutes of Science and Technology Program (INCT) to
Carlos Morel (INCT-IDPN).

102

103 Key-words: Reinfection, SARS-CoV-2, COVID-19, next generation sequencing, humoral
104 response, case report

105

106 **Research in Context**

107 *Evidence before this study*

108 The possibility that SARS-CoV-2 may cause successive infections is a matter of intense debate 109 and concern. So far, there were four documented case reports of SARS-CoV-2 reinfection, by 110 means of partial or complete genomic evidence, among the over 55 million confirmed cases. 111 Neither the innate nor humoral responses were followed-up in depth for these patients.

112

113 Added value of this study

We followed up a small cluster of four house-related contactants with two episodes of COVID-19, separated approximately by 60 days apart. Viral genomic evidence shows divergence between the first and second episodes of SARS-CoV-2 detection, indicative of reinfection. The first episode was apparently controlled by the innate immune response, without development of consistent neutralizing humoral immunity. Thus, patients remained susceptible to the second episode of COVID-19. This is the first evidence of SARS-CoV-2 reinfection in Brazil. The data suggests that SARS-CoV-2 reinfection may occur more often than anticipated.

121

122 Implication of all available evidence

Confirmations of reinfections imply that first episode of COVID-19 will not necessarily generate adaptive immunity to a second infection, which may contribute to new waves of the pandemics. After primary infection, a still overlooked proportion of patients develops poor adaptative immune response and may be susceptible to reinfection. These results also point out that vaccination may require prime/booster strategies.

128 Introduction

Confirmed cases of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has 129 surpassed 55 million, along with 1.5 million deaths by 2019 coronavirus disease (COVID-19)¹. 130 New waves of the pandemics in different northern and southern hemisphere countries are the 131 unequivocal evidence that herd immunity was not fully achieved and that susceptible population 132 is countless¹. In line with that, there is recent evidence that seroconversion after primary 133 exposure to SARS-CoV-2 may be heterogeneous among the population ². Moreover, even for 134 those who seroconvert, the sustainability of the immune response, as judged by IgG level, decay 135 after weeks to months after primary exposure to the new coronavirus ³. Paradoxically, few 136 documented cases of reinfection by SARS-CoV-2 have been registered ⁴⁻⁷. Since there is 137 significant laboratory effort to unequivocally document reinfection and public health systems 138 are unlikely prepared to provide molecular diagnosis, virus genome sequencing and serological 139 evidence in a coordinated way during an ongoing pandemic - it is plausible that subsequent 140 episode(s) of infection are currently overlooked. Indeed, respiratory viruses, such as influenza 141 virus and, even other human and veterinary coronaviruses, provoke reinfections throughout the 142 life-span of their hosts ^{8–10}. Confirmations of reinfections imply that waves of the pandemics 143 do not dependent exclusively on individuals naïve to SARS-CoV-2 exposure. Moreover, 144 documented reinfections may suggest that vaccines will require prime-booster strategies. 145

Our data here describe the follow-up of four individuals with two episodes of COVID-19. 146 147 Among them, we fully documented reinfection in two patients, based on virus genomic 148 sequencing. Clinical and molecular diagnosis, along with serological results, make it difficult to rule out that the other two households were not reinfected. These recurrent COVID-19 cases 149 occurred during the last week of March and May, 2020, in Rio de Janeiro, Brazil. The first 150 episode was apparently controlled by innate immune response and did not result in neutralizing 151 humoral immunity to prevent against the second infection. Our study contributes to the better 152 comprehension of the dynamics of the immune and virological responses in mild cases of 153 COVID-19, which might hide individuals susceptible to reinfection. 154

- 155
- 156
- 157
- 158

159 Material and Methods

160 *Ethics and Study Population*

Four outpatients presenting mild self-limiting COVID-19 syndrome search for assistance and 161 diagnosis at their convenience by spontaneous demand from March 2016 to August 2020. For 162 comparisons, we also included 5 SARS-CoV-2-negative control subjects from the same City 163 were the groups of patients live, Rio de Janeiro, Brazil. All patients had SARS-CoV-2 164 confirmed diagnostic through RT-PCR of nasopharyngeal swab. Sequential peripheral vein 165 blood samples were also obtained. The National Review Board of Brazil approved the study 166 protocol (Comissão Nacional de Ética em Pesquisa [CONEP] 30650420.4.1001.0008), and 167 informed consent was obtained from all participants or patients' representatives. 168

169

170 Measurement of serum anti-SARS-CoV-2 antibodies

For quantitative analysis of anti-SARS-CoV-2 spike protein IgM, IgA and IgG antibodies, we 171 performed the S-UFRJ test, as described previously¹¹. Briefly, high binding ELISA plates were 172 coated with 50 µL of SARS-CoV-2 spike protein (4 µg/mL in PBS) and incubated overnight. 173 The coating solution was removed and 100 µL of PBS 1% BSA (blocking solution) was added 174 and the plate was incubated at room temperature (RT) for 1-2 hours. The blocking solution was 175 removed and 50 µL of diluted 1:40 (PBS 1% BSA) patient sera were added, subsequently, the 176 sera were serially three-fold diluted in the plate, which was incubated at RT for 2 hours. Then, 177 the plate was washed with 150 µL of PBS (5x) and 50 µL of 1:10000 goat anti-human IgG, IgA 178 179 and IgM (Fc)-horseradish peroxidase antibody (Sourthen Biotech, Birmingham, USA) were 180 added, and the plate was incubated for 1.5 hours at RT. The plate was washed again with 150 μL of PBS (5x) and then treated with TMB (3,3 ', 5,5; -tetramethylbenzidine) (Scienco, Brazil) 181 until the reaction was stopped with 50 µL of HCl 1N. The optical density (OD) was read at 450 182 nm with 655 nm background compensation in a microplate reader (Bio-Rad Laboratories, Inc, 183 California, USA). 184

185

186 *Quantification of plasma cytokine levels.*

Plasma samples from the acute and convalescent phases of the two episodes were collected in
EDTA-containing tubes. Tubes were placed on ice and aliquoted. Commercial Elisa kits from

189 R&D Systems (Minneapolis, MN, USA) were used to measure interferon (IFN)- α , - β and - γ ,

interleukin (IL)-6, -8 and -10, tumor necrosis factor α (TNF- α) and induced protein 10 (IP10)/C-

X-C motif chemokine ligand 10 (CXCL-10). This panel of mediators provides evidence for
host production of antiviral, pro-inflammatory and regulatory responses.

193

194 *Plaque reduction neutralization test (PRNT)*

To determine serum titers to block SARS-CoV-2 infection, miniaturized PRNT was performed. 195 In brief, human serum was heat inactivated (30 min, 56°C) prior to two-fold serial dilutions 196 197 (from 1:4 to 1:2056). Diluted sera were incubated with 100 plaque forming units (PFU) of SARS-CoV-2 (GenBank # MT710714) for 1 h at 37 °C in 96-multiwell plates. Afterwards, 198 mixtures of sera/virus were incubated with Vero E6 cells (2 x 10^4 cell/well) in 96-well plates 199 during an additional 1h at 37°C. Next, fresh semi-solid medium containing 2.4 % of 200 carboxymethylcellulose (CMC) was added to the wells, and cultures were maintained for 72 h 201 at 37 °C. Cells were fixed with 10 % formaline for 2 h at room temperature and stained with 202 crystal violet (0.4 %). Endpoint dilution to inhibiting 90% PFU (PRNT₉₀) was scored. As a 203 control, to validate each assay, a back-titration of the mock-treated virus was included. Our 204 205 quality control accepts the final readout of the virus input to be equivalent to 100 ± 20 PFU. Plaque numbers were scored in at least 3 independent experiments with technical replicates by 206 207 two independent blinded readers, to determine the $PRNT_{90}$. A schematic and representative description of the assay read is presented in Figure S1. 208

- 209
- 210

211 *Molecular diagnosis*

The total viral RNA was extracted using QIAamp Viral RNA (Qiagen®), according to 212 manufacturer's instructions. Quantitative RT-PCR was performed using GoTaq® Probe qPCR 213 and RT-qPCR Systems (Promega) in a StepOne[™] Real-Time PCR System (Thermo Fisher 214 215 Scientific). Amplifications were carried out in 25 μ L reaction mixtures containing 2× reaction mix buffer, 50 µM of each primer, 10 µM of probe, and 5 µL of RNA template. Primers, probes, 216 and cycling conditions used to detect the SARS-CoV-2 RNA were those recommended by the 217 Centers for Disease Control and Prevention (CDC)¹². The standard curve method was 218 employed for virus quantification, using synthetic RNA for gene N (Microbiologics, 219 Minnesota, USA). The ct values for this target were compared to those obtained with different 220 cell amounts (10^7 to 10^2), for reaction calibration. 221

223 Genomic analysis

Total viral RNA from nasopharyngeal swabs was extracted using QIAamp Viral RNA (Qiagen®, Hilden, Germany), with minor modifications ¹³. In brief, extraction was performed in 2 ml of sample/lysis buffer (1:1) without RNA carrier, and purified RNA was obtained after binding and elution from a single silica column. For better yields, a 50 ul eluate was repetitively loaded (4x) to the same column. Tests were performed to evaluate if digestion with DNAse I and depletion of rRNA enhanced the quantity/quality of SARS-CoV-2-related reads. Samples negative for SARS-CoV-2 and positive for Zika or chikungunya were included as controls.

An amplicon-based enrichment strategy was carried out with the ATOPlex SARS-CoV-2 Full 231 Length Genome Panel v1.0 (kindly donated by MGI Tech Co., Shenzhen, China), to improve 232 sequencing readout. For library construction, RNA samples were first quantified with the 233 QubitTM RNA BR Assay Kit (Thermo Fisher Scientific, Foster City, CA), according to 234 manufacturer's instructions. Approximately 5 ng of each sample were then used as inputs to 235 reverse transcription (RT) reactions, followed by two-step multiplex PCR-based genome 236 237 amplifications and dual adaptor indexing (ie barcoding) using proprietary primer sets. Products were purified with DNA Clean beads at a 5:6 volume ratio and subsequent washing steps with 238 80% ethanol. Next, individual libraries were quantified with the QubitTM 1X dsDNA HS Assav 239 Kit (Thermo Fisher Scientific, Foster City, CA) and homogeneously pooled to a total sum of 240 400 ng, before being submitted to denaturation, circularization and digestion steps. Finally, 241 242 single- stranded circular DNA library pools were converted to DNA nanoballs by rolling circle 243 amplification and submitted to pair-end sequencing (100 nt) on the MGISEQ-2000 platform (MGI Tech Co., Shenzhen, China). 244

Genomic sequences were quality-scored, filtered, trimmed and assembled in contigs through a 245 validated workflow for SARS-CoV-2¹⁴. Genomes were aligned with MAFFT¹⁵ or ClustalW 246 ¹⁶, and phylogenies were constructed with Mega 7.0^{17,18}, using the Jukes-Cantor model for 247 Maximum Likelihood estimates, by applying Neighbor-Join and BioNJ algorithms¹⁹. The tree 248 with the highest log likelihood was used. Alternatively, MrBayes 3.2.7 was used for Bayesian 249 inference with a relaxed clock model with a priori model testing using the G + I nucleotide 250 substitution model, selected by jModelTest v1.6. The tree was visualized and edited with 251 FigTree v.1.4.2 (http://tree.bio.ed.ac.uk). SARS-CoV-2 clades were determined by: 252 https://clades.nextstrain.org²⁰. To categorize mutations/polymorphisms, the SARS-CoV-2 253 reference genome Wuhan-Hu-1 (GISAID EPI ISL #402125) was aligned to out sequences. The 254

original sequences used in this work are publicly available on https://nextstrain.org/ncov:
GISAID EPI ISL #636737, 636834-636838). The dataset included in the analysis contained
representative sequences of the emerging clades associated to our sequences, 19A and 20B, as
well as sequences form the genome 20A – as a negative control (Table S1).

259 **Results**

Patient A, a 54-years old male requested a RT-PCR test for SARS-CoV-2 on March 23rd, 260 because of a recurrent headache on the prior two days. He also had previous contact with a 261 syndromic co-worker, presenting cough and what he described as signals of influenza-like 262 illness. Patient A had detectable viral load in nasopharyngeal swabs (cycle threshold; ct = 27.41) 263 of approximately 10⁵ copies/ml (Table 1). Patient B, a 57-years old female with previous history 264 of discoid lupus erythematosus, was tested because of intimate contact with Patient A. She 265 tested positive for COVID-19 on March 24th, with a ct value of approximately 36.31 (~10³ 266 copies/ml) in the nasopharyngeal swabs (Table 1). Two days afterwards, she presented diarrhea 267 268 (Table 1).

Patient B is a household of patients C and D, a couple at age of 34 years-old. Patients C and D 269 270 were not on social isolation because of their job duties. Although Patient C was asymptomatic, he displayed a $ct = 35.71 (10^3 \text{ copies/ml})$ on March 25th (Table 1). Patient D was negative after 271 molecular testing on March 26th, but one week later, she presented a detectable viral load of 272 36.01 (10³ copies/ml) and started a diarrhea in the following days (Table 1). On March 27th, 273 Patients presented a balanced upregulation of markers of innate immune response, compared to 274 healthy controls (Figure 1), pro-inflammatory mediators (IL-6, IL-8 and TNF- α), regulatory 275 (IL-10) and chemotactic (CXCL-10) signals and IFN-y. On the other hand, anti-SARS-CoV-2 276 IgM, IgA or IgG antibodies were not detected in the patients' sera on this same day (Table 1 277 278 and Figure S2).

Although we run out of respiratory samples from Patients A and D, we were able to obtain fulllength SARS-CoV-2 genome for Patients B and C (Table 1). Complete genome sequencing, with Phred quality score >30, composed of 140.000 to 20.000.000 reads and coverage of 100to 10.000-times, argues against a false-positive RT-PCR result (Table S2, first column). Patients B and C were respectively affected by SARS-CoV-2 strains associated to emerging clades 19A and 20B in the episode of COVID-19 from March 2020 (Figures 2, S3 and Table 1). The detection of the two lineages indicates that Patients B and C contracted the new coronavirus by

independent means, and not transmitted the virus to each other (Figures 2, S3 and Table 1). 286 These distinct lineages co-circulated in Brazil in March 2020 when multiple introductions of 287 the SARS-CoV-2 occurred ²¹. Indeed, because Patient B was on social isolation, her intimate 288 contact with Patient A represented the only risk of infection. The syndromic contact of Patient 289 A that unfolds the COVID-19 testing among the households was a traveler. Thus, the 290 association of Patient B, and by epidemiological linkage Patient A, to emerging clade 19A, 291 which is closer to Wuhan-01, is consistent (Figure 2 and S3). Since Patient C was frequently 292 293 exposed to various probable sources of contamination due to his work. Indeed, he was infected by an emerging clade 20B virus, the most prevalent variant in Brazil (Figure 2 and S3; 294 PATIENT_B_FIRST_EPISODE and PATIENT_C_FIRST_EPISODE). Patients B and C 295 recovered from the mild COVID-19 episode and were retested on the first half of April, when 296 they presented negative RT-PCR results. 297

On the last week of May, when COVID-19 cases in Rio de Janeiro were on the peak of 298 pandemics ²², these four individuals sought for medical assistance again, with more exuberant 299 signs and symptoms of SARS-CoV-2 infection than previously (Table 1). During the second 300 episode, they presented fever and/or cough, along with indolence, headache, body ache, 301 anosmia and ageusia. Real time RT-PCR revealed higher viral loads in the nasopharyngeal 302 swabs than at the time of the first infection, with ct values of 21.76 ($\sim 10^7$ copies/ml), 21.84 303 (~10⁷ copies/ml), 26.38 (~10⁵ copies/ml), and 16.87 (~10⁹ copies/ml) for patients A, B, C and 304 305 D, respectively (Table 1).

On June 3rd, a week after the second episode, anti-SARS-CoV-2 immunoglobulins were 306 detected only for patients A and B, along with low to non-neutralizing activity (Table 1 and 307 Figure S2). This serological sample from June is informative that the first episode of COVID-308 19 was not followed by a sustained neutralizing humoral response, as judged by PRTN₉₀ titers 309 310 (Table 1). Since signals of an effector humoral memory were mild (Table 1), we could speculate that the enhanced production of IFNs and pro-inflammatory mediators led to resolution of the 311 first episode of COVID-19 (Figure 1) - meaning indeed that the patients could still be 312 susceptible to SARS-CoV-2. In fact, since the second episode, most of cytokine levels were 313 kept higher, compared to healthy volunteers (Figure 1). 314

- 315 Serological samples consistent with convalescence phase of the second episode, collected on
- July 10th, 40 days after the claimed episode of reinfection, revealed that all individuals presented
- detectable immunoglobulin levels and their best PRNT₉₀ results (Table 1 and Figure S2),

declining thereafter on August 10^{th} (Table 1 and Figure S2). These data mean that all four patients were able to develop secondary humoral adaptive responses, which might have contributed to limit viral dissemination and control the infection. In parallel, these individuals continuously presented up-regulated pro-inflammatory markers (Figure 1), which is consistent with boostered response to a second SARS-CoV-2 exposure. In particular, the dichotomic markers of inflammation and regulatory responses, respectively, TNF- α and IL-10, also decreased in August, compared to previous months (Figure 1).

At the second episode, we fully sequenced the SARS-CoV-2 genome from all patients (Tables 325 1, S2 and Figures 2 and S3). SARS-CoV-2 sequences from the reinfection clustered together, 326 suggesting a household transmission (Figure 2 and S3, Patient_A to Patient_D). The emerging 327 genotype 20B, which is the major variant circulating in Brazil since May 2020, was detected in 328 all samples from the second episodes (Figure 2 and S3 and Table 1). For Patient B, the first and 329 second episodes were associated with the emerging clades 19A and 20B, respectively (Figure 330 2 and S3). Two episodes provoked by genetically distinct lineages support the notion of 331 332 reinfection.

For patient C, although both episodes were associated with clade 20B, they clustered apart on 333 334 the phylogeny with significant statistical support: by 86 % of bootstrap using maximum likelihood (Figure 2) and by Bayesian inference (Figure S3). Besides, there are genetic markers 335 in the SARS-CoV-2 genome that were different in the two episodes of COVID-19 (Table S2). 336 337 Virus genome from the second and first episodes of Patient C diverges at the genes encoding the non-structural protein (nsp) 3, 3C-like proteinase and exonuclease (Table S2). Besides the 338 genetic variations, the poor development of anti-SARS-CoV-2 serology, between the two 339 episodes of infection, points towards the interpretation of reinfection. 340

Moreover, Patient A and D, for whom respiratory samples from the first episode were not enough for sequencing analysis, displayed clinical symptomatology, positive RT-PCRs, enhanced cytokine/chemokine levels and epidemiological linkage that make it difficult to rule out that they did not present two events of SARS-CoV-2 infections.

Altogether, compared to previous studies on case reports of reinfection, here we describe a small cluster of individuals who presented laboratory-based evidence of two episodes of COVID-19.

348

349 Discussion

350 Seasonal coronaviruses and influenza A virus are respiratory viruses that may cause reinfection 8,10 . Drifted strains of influenza A virus emerge with mutations to scape immune response 23 . 351 Nevertheless, sustainability of the immune response to influenza lasts for roughly a year for the 352 general population⁸, meaning that yearly vaccination would occur even if vaccine-escape 353 mutant did not emerge. Influenza A virus is more mutagenic than coronaviruses, which are 354 equipped with an exonuclease, the nsp14²⁴, that function as proofreading enzyme. Of note, in 355 veterinary medicine, domestic mammals have commonly coronavirus reinfection ⁹. It is likely 356 that in mammals the adaptive, memory-generating, immunity to coronaviruses is 357 heterogeneously sustainable and some events of infection are controlled at the level of the innate 358 immunity. 359

We fully documented reinfection in two genetically unrelated individuals in Rio de Janeiro, 360 Brazil, describing patients that presented for two times, in a near two-month interval, clinical 361 and laboratory diagnosis of COVID-19. Virus polymorphisms from the primary and second 362 episodes and negative RT-PCR between the events strengths the argument towards reinfection. 363 Throughout the follow-up of these individuals, generation of neutralizing anti-SARS-CoV-2 364 titers was achieved just after the second infection, meaning that they were still vulnerable after 365 366 the primary episode. Although we have not measured the serum levels of anti-SARS-CoV-2 antibodies after the first infection, it is plausible that the first episode was resolved without a 367 368 relevant engagement of humoral memory.

SARS-CoV-2 reinfection has been described in USA, Ecuador, Belgium/Netherlands and Hong 369 Kong, on the basis of case reports ^{4–7}. These Brazilian patients configure a small cluster claimed 370 to be reinfected. Similarly to the cases of USA and Ecuador ^{4,7}, reinfection patients in Brazil 371 presented more symptoms than in the first episode. Antibody-dependent enhancement or simply 372 exposure to higher amounts of virus could be the reason to the change from 373 374 asymptomatic/oligosymptomatic to syndromic. We understand that current literature is not enough to associate the second infection with a more virulent strain. In our study, primary and 375 376 second infections were caused by a strain carrying the D614G mutation in the spike, which has been associated with higher replication efficiency ²⁵. Although V125F change in the nsp14 is a 377 non-conservative mutation that may increase the volume in the loop between beta-sheets 378 number 5 and 6, which could affect its methyltransferase activity 26 – it is unlikely to increase 379 the virulence on the second episode. On the other hand, changes in nsp6²⁷ and ORF6²⁸ may 380 result in viral evasion form innate immunity. 381

382 Primary infections of patients B and C were associated to emerging clades 19A and 20B -, indicating that these co-habitants were infected independently. Indeed, while one patient was 383 on social isolation, the other was in an active circulation. The co-circulation of these clades of 384 SARS-CoV-2 is consistent with the COVID-19 databases ²⁹ and the multiple introductions of 385 the new coronavirus in Brazil²¹. In the following months, emerging clade 20B turned to be the 386 most prevalent genotype, representing 60 % of the deposited genomes on GISAID ²⁹. The 387 detection of clade 20B on the second episode of COVID-19, by the end of May, is associated 388 with the peak of the pandemic in Rio de Janeiro, Brazil²². 389

- Distinct clades of SARS-CoV-2 were found in the primary and secondary respiratory samples 390 from patient B, supporting the argument on reinfection. For patient C, both the first and second 391 detections of SARS-CoV-2 were associated with clade 20B. Although viral persistence could 392 be imagined in this scenario, SARS-CoV-2 genomic sequences from the first and second 393 episodes do not cluster together in the same branch, such as it did for the immunocompromised 394 individual that shed SARS-CoV-2 for 150 days ³⁰. Thus, phylogeny does not support the 395 interpretation of persistence, by different methods. By branching apart, SARS-CoV-2 genomes 396 associated to patient C strengthen the chances of a relevant degree of variation ³¹, pointing 397 towards the direction of reinfection. In the documented case of reinfection in USA, both 398 episodes of molecular diagnosis of COVID-19 were also associated with the same emerging 399 clade ⁴, but they also clustered apart from each other in the phylogeny, similarly to virus 400 genomes from patient C. Whereas the detection of two episodes of SARS-CoV-2 infection 401 402 from patient C were separated by over 60 days, prolonged virus shedding in the nasopharyngeal swabs from mild cases lasts for no more than 22 to 46 days 32 – another evidence that reduce 403 404 the chances of persistence.
- Results of SARS-CoV-2 reinfection echo that prime-booster may be necessary to achieve 405 humoral protection and underscore that sustainability of the immune response may be 406 heterogeneous. We documented that these individuals with mild COVID-19 displayed a 407 balanced innate immune response. Although cytokine storm has been associated with severe 408 COVID-19³³, we interpret that the balanced innate immune response might have led to 409 infection resolution ³⁴. The natural history of mild COVID-19 described for these individuals 410 may be also representative of many individuals exposed to the first wave of the pandemics, 411 leading to the hypothesis that they would also be susceptible to other episodes of SARS-CoV-412 2 infections. Perhaps, the rigor to document reinfection through virus genomic sequencing in 413

disorganized public health systems that succumb to pandemic may have overlooked the frequency of this event. It is thus important to stimulate cohort studies to further quantify the incidence of reinfection.

- 417 Considering this study, there will be five documented reports of reinfection. Along with the 418 cases described elsewhere ^{4–7}, the small cluster found here should open the debate whether cases 419 of reinfection are more numerous but poorly documented. This hypothesis could be addressed 420 by cohort studies following up individuals between pandemic waves. Our investigation may
- 421 help to identify laboratory endpoints for studies on reinfection.
- 422
- 423 **References**
- 424
- 425 1 WHO Coronavirus Disease (COVID-19) Dashboard | WHO Coronavirus Disease (COVID-426 19) Dashboard.
- 427 https://covid19.who.int/?gclid=CjwKCAiAtK79BRAIEiwA4OskBvIhZPswIQFwuW7goW
 428 U9PiYw-a5jR_WeCMyPg7833I_jrccLzoflCxoCV7EQAvD_BwE (accessed Nov 12,
 429 2020).
- 2 Ripperger TJ, Uhrlaub JL, Watanabe M, *et al.* Detection, prevalence, and duration of
 humoral responses to SARS-CoV-2 under conditions of limited population exposure. *medRxiv : the preprint server for health sciences* 2020; : 2020.08.14.20174490.
- 433 3 Hueston L, Kok J, Guibone A, *et al.* The Antibody Response to SARS-CoV-2 Infection.
 434 *Open Forum Infectious Diseases* 2020; **7**. DOI:10.1093/ofid/ofaa387.
- 4 Tillett RL, Sevinsky JR, Hartley PD, *et al.* Genomic evidence for reinfection with SARSCoV-2: a case study. *The Lancet Infectious Diseases* 2020; **0**. DOI:10.1016/s14733099(20)30764-7.
- To KK-W, Hung IF-N, Ip JD, *et al.* Coronavirus Disease 2019 (COVID-19) Re-infection
 by a Phylogenetically Distinct Severe Acute Respiratory Syndrome Coronavirus 2 Strain
 Confirmed by Whole Genome Sequencing. *Clinical Infectious Diseases* 2020; published
 online Aug 25. DOI:10.1093/cid/ciaa1275.
- 442 6 Van Elslande J, Vermeersch P, Vandervoort K, *et al.* Symptomatic SARS-CoV-2
 443 reinfection by a phylogenetically distinct strain. *Clinical Infectious Diseases* 2020;
 444 published online Sept 5. DOI:10.1093/cid/ciaa1330.
- Prado-Vivar B, Becerra-Wong M, Guadalupe JJ, *et al.* COVID-19 Re-Infection by a
 Phylogenetically Distinct SARS-CoV-2 Variant, First Confirmed Event in South America. *SSRN Electronic Journal* 2020; published online Sept 9. DOI:10.2139/ssrn.3686174.
- 448 8 Krammer F. The human antibody response to influenza A virus infection and vaccination.
 449 Nature Reviews Immunology. 2019; 19: 383–97.

- 9 Decaro N, Martella V, Saif LJ, Buonavoglia C. COVID-19 from veterinary medicine and
 one health perspectives: What animal coronaviruses have taught us. *Research in Veterinary Science* 2020; 131: 21–3.
- 453 10 Galanti M, Shaman J. Direct Observation of Repeated Infections With Endemic
 454 Coronaviruses. *The Journal of Infectious Diseases* 2020. DOI:10.1093/infdis/jiaa392.
- 455 11 F Alvim RG, Lima TM, S Rodrigues DA, *et al.* An affordable anti-SARS-COV-2 spike
 456 protein ELISA test for early detection of IgG seroconversion suited for large-scale
 457 surveillance studies in low-income countries. *medRxiv* 2020; : 2020.07.13.20152884.
- 458 12 Centers for Disease Control and Prevention C. Real-time RT-PCR Primers and Probes for
 459 COVID-19 | CDC. https://www.cdc.gov/coronavirus/2019-ncov/lab/rt-pcr-panel-primer 460 probes.html (accessed Nov 11, 2020).
- 13 Metsky HC, Matranga CB, Wohl S, *et al.* Zika virus evolution and spread in the Americas. *Nature* 2017; **546**: 411–5.

463 14Cleemput S, Dumon W, Fonseca V, *et al.* Genome detective coronavirus typing tool for
464 rapid identification and characterization of novel coronavirus genomes. *Bioinformatics*465 2020; **36**: 3552–5.

- 15 Katoh K, Kuma K, Toh H, Miyata T. MAFFT version 5: improvement in accuracy of
 multiple sequence alignment. *Nucleic acids research* 2005; **33**: 511–8.
- 16Larkin MA, Blackshields G, Brown NP, *et al.* Clustal W and Clustal X version 2.0. *Bioinformatics* 2007; 23: 2947–8.
- 470 17 Kumar S, Stecher G, Li M, Knyaz C, Tamura K. MEGA X: Molecular evolutionary
 471 genetics analysis across computing platforms. *Molecular Biology and Evolution* 2018; 35:
 472 1547–9.
- 473 18Felsenstein J. CONFIDENCE LIMITS ON PHYLOGENIES: AN APPROACH USING
 474 THE BOOTSTRAP. *Evolution* 1985; **39**: 783–91.
- 475 19 Jukes TH, Cantor CR. Evolution of Protein Molecules, chapter 24. Mammalian Protein
 476 Metabolism. New York: Academic Press, 1969.
- 477 20 Nextclade. https://clades.nextstrain.org/ (accessed Nov 14, 2020).
- 478 21 Candido DS, Claro IM, de Jesus JG, *et al.* Evolution and epidemic spread of SARS-CoV-2
 479 in Brazil. *Science* 2020; **369**: 1255–60.
- 22 Secretaria de Saúde do Estado do Rio de Janeiro. Painel de monitoramento de Covid-19 no
 Estado do Rio de Janeiro. http://painel.saude.rj.gov.br/monitoramento/covid19.html#
 (accessed Nov 24, 2020).
- 23 Jaramillo JM, Ma J, van den Driessche P, Yuan S. Host contact structure is important for
 the recurrence of Influenza A. *Journal of Mathematical Biology* 2018; **77**: 1563–88.

- 24 Romano M, Ruggiero A, Squeglia F, Maga G, Berisio R. A Structural View of SARSCoV-2 RNA Replication Machinery: RNA Synthesis, Proofreading and Final Capping.
 Cells. 2020; 9. DOI:10.3390/cells9051267.
- 25 Groves DC, Rowland-Jones SL, Angyal A. The D614G mutations in the SARS-CoV-2
 spike protein: Implications for viral infectivity, disease severity and vaccine design. *Biochemical and Biophysical Research Communications* 2020; published online Nov.
 DOI:10.1016/j.bbrc.2020.10.109.
- 26 Krafcikova P, Silhan J, Nencka R, Boura E. Structural analysis of the SARS-CoV-2
 methyltransferase complex involved in RNA cap creation bound to sinefungin. *Nature Communications* 2020; **11**. DOI:10.1038/s41467-020-17495-9.
- 27 Cottam EM, Whelband MC, Wileman T. Coronavirus NSP6 restricts autophagosome
 expansion. *Autophagy* 2014; 10: 1426–41.
- 28 Sarif Hassan S, Pal Choudhury P, Uversky VN, *et al.* Variability of Accessory Proteins
 Rules the SARS-CoV-2 Pathogenicity in the National Center for Biotechnology
 Information. 2020. DOI:10.1101/2020.11.06.372227.
- 500 29 GISAID. GISAID Initiative. https://www.gisaid.org/ (accessed Nov 14, 2020).
- 30 Choi B, Choudhary MC, Regan J, *et al.* Persistence and Evolution of SARS-CoV-2 in an
 Immunocompromised Host. *The New England journal of medicine* 2020; :
 NEJMc2031364.
- 31 Koyama T, Platt D, Parida L. Variant analysis of SARS-cov-2 genomes. *Bulletin of the World Health Organization* 2020; 98: 495–504.
- 32 Sun J, Xiao J, Sun R, *et al.* Prolonged persistence of SARS-CoV-2 RNA in body fluids.
 Emerging Infectious Diseases 2020; 26: 1834–8.
- 33 de la Rica R, Borges M, Gonzalez-Freire M. COVID-19: In the Eye of the Cytokine Storm.
 Frontiers in Immunology. 2020; 11: 558898.
- 510 34 Arunachalam PS, Wimmers F, Mok CKP, *et al.* Systems biological assessment of
- immunity to mild versus severe COVID-19 infection in humans. *Science* 2020; **369**: 1210–
 20.
- 513
- 514
- 515

516 Acknowledgment

517 Thanks are due to Dr. Carmen Beatriz Wagner Giacoia Gripp from Oswaldo Cruz
518 Institute (IOC) and Dr. Marco Alberto Medeiros from Biomanguinhos for assessments related
519 to BSL3 facility and Sequencing platform, respectively. We thank Dr. Gonzalo Bello (IOC) Dr.

520	Dumith Chequer Bou-Habib (IOC), Dr. Willian Provance (CDTS) and Dr. Fabiano Thompson
521	(UFRJ) for insightful discussions. We deeply appreciated the MGI, a partner in the
522	implementation of next generation sequencing through collaborations with Oswaldo Cruz
523	Foundation (Fiocruz), in especial for challenging samples of COVID-19.

525 Authors Contributions

- 526 Clinical Surveillance FAB, PTB
- 527 Patients Enrolment NFR, FAB
- 528 Immune assessments NFR, CQS, DR, IGA, VCS
- 529 Sequencing NFR, APDS, MCS, FBS, MAF, JG, HJ, HT
- 530 Bioinformatics APDS, MCS, DAT
- 531 Study coordination FAB, PTB, CMM, TMLS
- 532 Manuscript preparation and revision –NFR, PTB, AMV, TMLS
- 533 All authors revised and approved the manuscript.
- 534

535 **Declaration of interests**

- 536 Authors declare no conflict of interest
- 537

538 Role of the funding source

- 539 The funder had no role in study design, data collection, data analysis, data interpretation, or
- 540 writing of the report. The corresponding author had full access to all data in the study and had
- 541 final responsibility for the decision to submit for publication.
- 542
- 543
- 544
- 545
- 546 Figures



Figure 1 – The profile of innate immune response from patients with two episodes of SARS-CoV-2. The indicated mediators of the innate immunity were measured by ELISA for patients A-D on the indicated months. For comparisons, these molecules were also quantified in the plasma from 5 healthy donors negative for SARS-CoV-2. Heatmap displays the Log₂ ratio of the fold-change from the plasma of the patients over the healthy volunteers. The mean \pm SEM for the healthy volunteers were the following: IFN- α = 20.4 \pm 4.7 pg/ml, IFN- β = 26.0 \pm 3.9 pg/ml, IFN- γ = 27.8 \pm 7.8 pg/ml, IL-6 = 13.4 \pm 1.7 pg/ml, IL-8 = 137 \pm 21.6 pg/ml, IL- $10 = 165.4 \pm 40.7$ pg/ml, TNF- $\alpha = 33.8 \pm 11.5$ pg/ml, CXCL- $10 = 61.0 \pm 27.3$ pg/ml.



- 560
- 561
- 562

Figure 2 – Phylogenetic analysis of SARS-CoV-2 genomes from reinfected patients. 563 Representative genomes deposited on GISAID (Table S1 and Fig S3) were compared to 564 sequences from virus genomes found in the respiratory samples from the first episode of patient 565 B and C, and second episode of patients A to D. Emerging clades 19A, 20A and 20B are brown, 566 orange and blue, respectively. The evolutionary history was inferred by using the Maximum 567 Likelihood method and Jukes-Cantor model¹⁹. The tree with the highest log likelihood (-568 46487.36) is shown. Initial tree(s) for the heuristic search were obtained automatically by 569 applying Neighbor-Join and BioNJ algorithms to a matrix of pairwise distances estimated using 570 the Jukes-Cantor model, and then selecting the topology with superior log likelihood value. 571 There were a total of 29920 positions in the final dataset. Evolutionary analyses were conducted 572 in MEGA 7.0^{17,18}. A total of 1000 bootstraps were used and condensed phylogenetic tree rooted 573 574 by reference genome Wuhan-Hu-1 (#EPI_ISL_402125) is displayed. 575 Table 1- Clinical, demographic, virological and serological aspects of the cluster of

Patients.

General	Individual code	Patient A	Patient B	Patient C	Patient D
n	couc				
	Gender	Male	Female	Male	Female
	Age (years- old)	54	57	34	34
	Co- morbidities	None	Discoid lupus erythematosus	None	None
Primary infection	Data of onset ilness	March 21st	March 26 th	Asymptomatic	March 31st
	Symptons	Headache	Mild diarrhea	No	Mild diarrhea
	RT-PCR (log10; copies/mL) Date	5.12 March 23 rd	3.21 March 24 th	3.83 March 24 th	3.01 April 2 nd
	Serology March 27 th	IgM/IgA/IgG detectable	IgM/IgA/IgG detectable	IgM/IgA/IgG detectable	IgM/IgA/IgG detectable
	PRNT ₉₀ /25u L March 27 th	<1:4	<1:4	<1:4	<1:4
	Sequencing	Not enough sample	emerging clade 19A	emerging clade 20B	Not enough sample
	Accession ID	N/A	EPI_ISL_6368 34	EPI_ISL_6368 36	N/A
Secondary Infection	Data of onset ilness	May 25 th	May 26 th	May 27 th	May 30 th
	Symptons	Fever, Dry cough, tiredness, body ache, anosmia, ageusia	Fever, diarrhea, headache, body ache, anosmia, ageusia	Fever, nausea, tiredness, headache, body ache	Dry cough, diarrhea, tiredness, headache, body ache, anosmia, ageusia,
	RT-PCR (log10;	7.31	7.42	5.18	9.61
	copies/mL) Date	May 29 th	May 29 th	May 29 th	May 29 th
	Serology June 3 rd	IgM/IgA/IgG detectable	IgM/IgA/IgG detectable	IgM/IgA/IgG undetectable	IgM/IgA/IgG undetectable
	PRNT ₉₀ /25u L June 3 rd	1:16	<1:4	<1:4	<1:4
	Sequencing	Emerging clade 20B	Emerging clade 20B	Emerging clade 20B	Emerging clade 20B
	Accession ID	EPI_ISL_6367 37	EPI_ISL_6368 35	EPI_ISL_6368 37	EPI_ISL_6368 38
Follow-up	Serology July 9 th	IgM/IgA/IgG detectable	IgM/IgA/IgG detectable	IgM/IgA/IgG detectable	IgM/IgA/IgG detectable
	PRNT ₉₀ /25u L	1:128	1:32	1:64	1:64

	July 9 th				
	Serology	IgM/IgA/IgG	IgM/IgA/IgG	IgM/IgA/IgG	IgM/IgA/IgG
	Aug 10 th	detectable	detectable	detectable	detectable
	FKIN I 90/25 U I	1:64	1:16	1.8	1:8
	Aug 10 th				
577					
578					
579					
580					
500					
581					
500					
582					
583					
584					
585					
586					
587					
507					
588					
589					
590					
591					
592					
002					
593					
504					
594					
595					
596					

Supplementary Material

598 Viral genetic evidence and host immune response of a small cluster of individuals with 599 two episodes of SARS-CoV-2 infection

600

601 Running title: SARS-CoV-2 reinfection in Brazil

602

603 Authors

Natalia Fintelman-Rodrigues^{1,7}, Aline de Paula Dias Da Silva^{1,7}, Monique Cristina dos
Santos^{1,7}, Felipe B. Saraiva², Marcelo Alves Ferreira⁷, João Gesto^{2,7}, Danielle A.S. Rodrigues³,
André Macedo Vale³, Isaclaudia Gomes de Azevedo¹, Vinícius Cardoso Soares¹, Hui Jiang⁵,
Hangdong Tan⁵, Diaga A. Tashaghafi Caralina O. Saarawata¹², Farranda A. Paga⁷⁸, Cardoso

- 607 Hongdong Tan⁵, Diogo A. Tschoeke⁶, Carolina Q. Sacramento^{1,2}, Fernando A. Bozza^{7,8}, Carlos
- 608 M. Morel⁹, Patrícia T. Bozza¹, Thiago Moreno L. Souza^{1,9}
- 609

610 Affiliations

- 611 1-Laboratório de Imunofarmacologia, Instituto Oswaldo Cruz (IOC), Fundação Oswaldo Cruz
- 612 (Fiocruz), Rio de Janeiro, RJ, Brazil.
- 2-Instituto de Tecnologia em Imunobiológicos (Bio-Manguinhos), Fiocruz, Rio de Janeiro, RJ,
 Brazil
- 615 3-Laboratório de Biologia de Linfócitos LBL, Instituto Biofísica, Universidade Federal do
- 616 Rio de Janeiro, Rio de Janeiro RJ Brazil
- 4-Programa de Imunologia e Inflamação, Universidade Federal do Rio de Janeiro, UFRJ, Rio
- 618 de Janeiro, RJ, Brazil.
- 5- MGI Tech Co., Ltd., Building No.11, Beishan Industrial Zone, Yantian District,
- 620 Shenzhen 518083, China
- 621 6- SAGE/COPPE, UFRJ, Rio de Janeiro, RJ, Brazil.
- 622 7-Instituto Nacional de Infectologia Evandro Chagas, (INI), Fundação Oswaldo Cruz (Fiocruz),
- 623 Rio de Janeiro, RJ, Brazil.
- 624 8-D'Or Institute for Research and Education
- 625 9-National Institute for Science and Technology on Innovation in Diseases of Neglected
- 626 Populations (INCT/IDPN), Center for Technological Development in Health (CDTS), Fiocruz,
- 627 Rio de Janeiro, RJ, Brazil.
- 628
- 629 Corresponding author: Thiago Moreno Lopes e Souza, PhD

630	Fundação Oswaldo Cruz (Fiocruz)
631	Centro de Desenvolvimento Tecnológico em Saúde (CDTS)
632	Instituto Oswaldo Cruz (IOC)
633	Pavilhão Osório de Almeida, sala 16
634	Av. Brasil 4365, Manguinhos, Rio de Janeiro - RJ, Brasil, CEP 21060340
635	Tel.: +55 21 2562-1311
636	Email: tmoreno@cdts.fiocruz.br
637	
638	
639	
640	
641	
642	
643	
644	
645	
646	
647	
648	
649	
650	
651	
652	
653	
654	
655	
656	
657	
658	
659	
660	Figures
661	





666	Figure S1 - Representative PRNT. Representative read out of PRNT. Two-fold serial dilutions (from 1:4 to
667	1:2056) of human sera was incubated in duplicates with approximately 100 plaque forming units (PFU) of SARS-
668	CoV-2. The sera/virus mixture was incubated for 1h at 37 $^{\circ}$ C and then, added to Vero E6 cells (2 x 10 ⁴ cell/well)
669	in 96-well plates and incubated for an additional 1h at 37°C. Next, medium with 2.4 % CMC was added. After, 72
670	h at 37 °C, cells are fixed with 10 % formaline and stained with crystal violet (0.4 %). Mock – uninfected control.
671	Virus (PFU/ml) - Back-titration of the mock-treated virus was included in each experiment, the undiluted virus
672	input incubated with the sera is highlighted by the blue circle, two-fold serial dilution of the virus is shown, the
673	last dilution of the virus input $(1:8)$ produced $12/13$ PFU (green circle), validating the assay. The endpoint dilution
674	of the sera capable of neutralizing the virus input (blue circle) by 90 % was expected to produce around 10 PFU,
675	these dilutions are shown by the red circles.
676	
677	
678	
679	



Figure S2 - Quantitative analysis of IgA, IgM and IgG from patients during primary, second infections and
two months after second infection. Plasma samples from patients were collected in March, June, July and August
for longitudinal detection of anti-Sipke IgM (green), IgA (blue) and IgG (red) antibodies (A-D). The relative levels
of antibodies were shown as endpoint titers of patient samples values for the O.D. [mean + 3 standard deviation
(X + 3SD)] negative controls on the same ELISA plate. The dashed horizontal line represents the endpoint titer
value between 10,000 and 30,000. The samples below the dotted line are considered negative.



Figure S3- Phylogeny constructed by Bayesian inference, through MrBayes 3.2.7, assuming a relaxed clock
 model with a priori model testing using the G + I nucleotide substitution model, selected by jModelTest v1.6.
 Emerging clades 19A, 20A and 20B are brown, orange and blue, respectively. Posterior and anterior probabilities
 are presented for each branch.

Number	Name	Accession code
1	hCoV-19/Wuhan/Hu-1/2019	EPI_ISL_402125
2	hCoV-19/Brazil/RJ-UFRJ-9331/2020 EPI_ISL_492036 2020-06-01	EPI_ISL_492036
3	hCoV-19/Brazil/RJ-INCA-C44/2020 EPI_ISL_513551 2020-04-17	EPI_ISL_513551
4	hCoV-19/Brazil/RJ-INCA-C39/2020 EPI_ISL_513547 2020-04-17	EPI_ISL_513547
5	hCoV-19/Brazil/RJ-INCA-C38/2020 EPI_ISL_513546 2020-04-17	EPI_ISL_513546
6	hCoV-19/Brazil/RJ-INCA-C37/2020 EPI_ISL_513545 2020-04-16	EPI_ISL_513545
7	hCoV-19/Brazil/RJ-INCA-C36/2020 EPI_ISL_513544 2020-04-16	EPI_ISL_513544
8	hCoV-19/Brazil/RJ-INCA-C34/2020 EPI_ISL_513542 2020-04-16	EPI_ISL_513542
9	hCoV-19/Brazil/RJ-INCA-C33/2020 EPI_ISL_513541 2020-04-16	EPI_ISL_513541
10	hCoV-19/Brazil/RJ-INCA-C31/2020 EPI_ISL_513539 2020-04-16	EPI_ISL_513539
11	hCoV-19/Brazil/RJ-INCA-C30/2020 EPI_ISL_513538 2020-04-16	EPI_ISL_513538
12	hCoV-19/Brazil/RJ-INCA-C29/2020 EPI_ISL_513537 2020-04-16	EPI_ISL_513537
13	hCoV-19/Brazil/RJ-INCA-C27/2020 EPI_ISL_513535 2020-04-16	EPI_ISL_513535
14	hCoV-19/Brazil/RJ-INCA-C25/2020 EPI_ISL_513533 2020-04-16	EPI_ISL_513533
15	hCoV-19/Brazil/RJ-INCA-C24/2020 EPI_ISL_513532 2020-04-16	EPI_ISL_513532
16	hCoV-19/Brazil/RJ-INCA-C23/2020 EPI_ISL_513531 2020-04-16	EPI_ISL_513531
17	hCoV-19/Brazil/RJ-INCA-C22/2020 EPI_ISL_513530 2020-04-16	EPI_ISL_513530
18	hCoV-19/Brazil/RJ-INCA-C14/2020 EPI_ISL_513517 2020-04-14	EPI_ISL_513517
19	hCoV-19/Brazil/RJ-INCA-C13/2020 EPI_ISL_513516 2020-04-14	EPI_ISL_513516
20	hCoV-19/Brazil/RJ-INCA-C12/2020 EPI_ISL_513515 2020-04-14	EPI_ISL_513515
21	hCoV-19/Brazil/RJ-INCA-C07/2020 EPI_ISL_513514 2020-04-08	EPI_ISL_513514
22	hCoV-19/Brazil/RJ-INCA-C06/2020 EPI_ISL_513513 2020-04-08	EPI_ISL_513513
23	hCoV-19/Brazil/RJ-DCVN1/2020 EPI_ISL_509434 2020-03-23	EPI_ISL_509434
24	hCoV-19/Brazil/RJ-899/2020 EPI_ISL_456071 2020-03-30	EPI_ISL_456071
25	hCoV-19/Brazil/RJ-2072/2020 EPI_ISL_456104 2020-04-16	EPI_ISL_456104
26	hCoV-19/Brazil/RJ-1921/2020 EPI_ISL_456091 2020-04-09	EPI_ISL_456091
27	hCoV-19/Brazil/RJ-1719/2020 EPI_ISL_456088 2020-04-06	EPI_ISL_456088
28	hCoV-19/Brazil/RJ-1702/2020 EPI_ISL_456087 2020-04-08	EPI_ISL_456087
29	hCoV-19/Brazil/RJ-1690/2020 EPI_ISL_456084 2020-04-08	EPI_ISL_456084
30	hCoV-19/Brazil/RJ-1627/2020 EPI_ISL_456083 2020-04-03	EPI_ISL_456083
31	hCoV-19/Brazil/RJ-1595/2020 EPI_ISL_467346 2020-04-02	EPI_ISL_467346
32	hCoV-19/Brazil/RJ-1555/2020 EPI_ISL_467344 2020-04-02	EPI_ISL_467344
33	hCoV-19/Brazil/GO-L19-CD410/2020 EPI_ISL_476333 2020-04-02	EPI_ISL_476333
34	hCoV-19/Brazil/PR-5620/2020 EPI_ISL_541343 2020-03-20	EPI_ISL_541343
35	hCoV-19/Brazil/SP-193/2020 EPI_ISL_523984 2020-04-19	EPI_ISL_523984
36	hCoV-19/Brazil/SP-240/2020 EPI_ISL_524468 2020-05-01	EPI_ISL_524468
37	hCoV-19/Brazil/PE-IAM1126/2020 EPI_ISL_572379 2020-05-07	EPI_ISL_572379
38	hCoV-19/Brazil/SC-L15-CD265/2020 EPI_ISL_476259 2020-03-29	EPI_ISL_476259
39	hCoV-19/Brazil/SP-L14-CD257/2020 EPI_ISL_476253 2020-03-27	EPI_ISL_476253
40	hCoV-19/Brazil/SC-0244/2020 EPI_ISL_470653 2020-03-18	EPI_ISL_470653
41	hCoV-19/Brazil/AL-837/2020 EPI_ISL_427292 2020-03-18	EPI_ISL_427292
42	hCoV-19/Brazil/SP-294/2020 EPI_ISL_527862 2020-03-30	EPI_ISL_527862
43	hCoV-19/Brazil/SP-L5-CAMPI91/2020 EPI_ISL_476419 2020-04-23	EPI_ISL_476419
44	hCoV-19/Brazil/SP-254/2020 EPI_ISL_524469 2020-05-05	EPI_ISL_524469
45	hCoV-19/Brazil/SP-436/2020 EPI_ISL_603033 2020-07-13	EPI_ISL_603033

714 Table S1 – List of access code from sequences used in tree construction to compared to patients virus sequence.

46	hCoV-19/Brazil/SP-441/2020 EPI_ISL_603038 2020-07-20	EPI_ISL_603038
47	hCoV-19/Brazil/RS-0242/2020 EPI_ISL_470651 2020-03-20	EPI_ISL_470651
48	hCoV-19/Brazil/SP-356/2020 EPI_ISL_547575 2020-06-16	EPI_ISL_547575
49	hCoV-19/Brazil/SP-321/2020 EPI_ISL_534316 2020-05-02	EPI_ISL_534316
50	hCoV-19/South_Korea/KCDC2712/2020 EPI_ISL_522491 2020-07-11	EPI_ISL_522491
51	hCoV-19/Brazil/PR-5621/2020 EPI_ISL_541344 2020-03-19	EPI_ISL_541344
52	hCoV-19/Brazil/SP-437/2020 EPI_ISL_603034 2020-07-11	EPI_ISL_603034
53	hCoV-19/Brazil/SP-433/2020 EPI_ISL_603030 2020-07-11	EPI_ISL_603030
54	hCoV-19/Brazil/UN-HIAE-SP04/2020 EPI_ISL_486429 2020-03-20	EPI_ISL_486429
55	hCoV-19/Norway/3069/2020 EPI_ISL_549084 2020-08-10	EPI_ISL_549084
56	hCoV-19/Brazil/SP-370/2020 EPI_ISL_583500 2020-06-29	EPI_ISL_583500
57	hCoV-19/Brazil/RJ-UFRJ-9331/2020 EPI_ISL_492036 2020-06-01	EPI_ISL_492036
58	hCoV-19/Thailand/Bangkok-0071/2020 EPI_ISL_445380 2020-03-30	EPI_ISL_445380
59	hCoV-19/Brazil/SP-439/2020 EPI_ISL_603036 2020-07-10	EPI_ISL_603036
60	hCoV-19/Brazil/SP-440/2020 EPI_ISL_603037 2020-07-20	EPI_ISL_603037
61	hCoV-19/Brazil/SP-394/2020 EPI_ISL_583503 2020-06-22	EPI_ISL_583503
62	hCoV-19/Brazil/SP-398/2020 EPI_ISL_603028 2020-06-30	EPI_ISL_603028
63	hCoV-19/Brazil/SP-L5-CAMPI77/2020 EPI_ISL_476416 2020-04-23	EPI_ISL_476416
64	hCoV-19/Brazil/SP-283/2020 EPI_ISL_527860 2020-04-17	EPI_ISL_527860
65	hCoV-19/Ecuador/USFQ-004/2020 EPI_ISL_477014 2020-03-30	EPI_ISL_477014
66	hCoV-19/Ecuador/USFQ-020/2020 EPI_ISL_471267 2020-04-17	EPI_ISL_471267
67	hCoV-19/Ecuador/USFQ-039/2020 EPI_ISL_481245 2020-04-17	EPI_ISL_481245
68	hCoV-19/Ecuador/USFQ-1112/2020 EPI_ISL_486847 2020-06-30	EPI_ISL_486847
69	hCoV-19/Ecuador/USFQ-110/2020 EPI_ISL_486845 2020-06-30	EPI_ISL_486845
70	hCoV-19/Italy/APU-UniMI-123PT/2020 EPI_ISL_525554 2020-07-20	EPI_ISL_525554
71	hCoV-19/Brazil/GO-L19-CD413/2020 EPI_ISL_476336 2020-04-02	EPI_ISL_476336
72	hCoV-19/Brazil/MG-0288/2020 EPI_ISL_470593 2020-04-09	EPI_ISL_470593
73	hCoV-19/Brazil/RJ-0251/2020 EPI_ISL_470619 2020-03-27	EPI_ISL_470619
74	hCoV-19/Brazil/GO-0209/2020 EPI_ISL_470576 2020-04-03	EPI_ISL_470576
75	hCoV-19/Argentina/PAIS-A0023/2020 EPI_ISL_430814 2020-04-17	EPI_ISL_430814
76	hCoV-19/Luxembourg/LNS9470500/2020 EPI_ISL_434505 2020-04-08	EPI_ISL_434505
77	hCoV-19/Mexico/HID-InDRE-54/2020 EPI_ISL_576257 2020-07-22	EPI_ISL_576257
78	hCoV-19/Mexico/CMX-INMEGEN-02/2020 EPI_ISL_522873 2020-07-31	EPI_ISL_522873
79	hCoV-19/Peru/LIM-UPCH-0146/2020 EPI_ISL_568540 2020-08-28	EPI_ISL_568540
80	hCoV-19/Peru/LIM-INS-138/2020 EPI_ISL_536533 2020-03-17	EPI_ISL_536533
81	hCoV-19/Peru/LIM-INS-078/2020 EPI_ISL_536478 2020-07-02	EPI_ISL_536478
82	hCoV-19/Peru/LIM-UPCH-0160/2020 EPI_ISL_568553 2020-08-28	EPI_ISL_568553
83	hCoV-19/CotedIvoire/BKE0891/2020 EPI_ISL_614389 2020-08-18	EPI_ISL_614389
84	hCoV-19/Peru/LIM-INS-120/2020 EPI_ISL_536518 2020-05-05	EPI_ISL_536518
	hCaV 10/Dame / INA LIDCH 0129/2020/EDL ISL 569524/2020 09 27	EDI ICI 569524

82	hCoV-19/Peru/LIM-UPCH-0160/2020 EPI_ISL_568553 2020-08-28	EPI_ISL_568553
83	hCoV-19/CotedIvoire/BKE0891/2020 EPI_ISL_614389 2020-08-18	EPI_ISL_614389
84	hCoV-19/Peru/LIM-INS-120/2020 EPI_ISL_536518 2020-05-05	EPI_ISL_536518
85	hCoV-19/Peru/LIM-UPCH-0138/2020 EPI_ISL_568534 2020-08-27	EPI_ISL_568534
86	hCoV-19/Turkey/KOC-IST-OD5/2020 EPI_ISL_613460 2020-06-21	EPI_ISL_613460
87	hCoV-19/USA/WI-UW-1054/2020 EPI_ISL_516480 2020-07-30	EPI_ISL_516480
88	hCoV-19/USA/WI-UW-759/2020 EPI_ISL_495464 2020-07-06	EPI_ISL_495464
89	hCoV-19/Peru/LIM-UPCH-0147/2020 EPI_ISL_568541 2020-08-28	EPI_ISL_568541
90	hCoV-19/USA/MI-MDHHS-SC22181/2020 EPI_ISL_614232 2020-10-12	EPI_ISL_614232
91	hCoV-19/USA/VA-DCLS-1506/2020 EPI_ISL_581508 2020-07-28	EPI_ISL_581508
92	hCoV-19/Peru/LIM-INS-100/2020 EPI_ISL_536499 2020-07-04	EPI_ISL_536499

EPI_ISL_522874

EPI_ISL_568523

EPI_ISL_568525

hCoV-19/Mexico/CMX-INMEGEN-03/2020|EPI_ISL_522874|2020-07-31

hCoV-19/Peru/LIM-UPCH-0127/2020|EPI_ISL_568523|2020-08-28

 $hCoV-19/Peru/LIM-UPCH-0129/2020|EPI_ISL_568525|2020-08-28$

93

94

96	hCoV-19/Peru/LIM-UPCH-0128/2020 EPI_ISL_568524 2020-08-28	EPI_ISL_568524
97	hCoV-19/Romania/Mioveni-24095/2020 EPI_ISL_468156 2020-05-08	EPI_ISL_468156
98	hCoV-19/Mexico/TLA-InDRE-57/2020 EPI_ISL_576260 2020-08-13	EPI_ISL_576260
99	hCoV-19/Mexico/ZAC-InDRE-72/2020 EPI_ISL_576275 2020-08-14	EPI_ISL_576275
100	hCoV-19/France/BRE-BR9068/2020 EPI_ISL_613557 2020-09-06	EPI_ISL_613557
101	hCoV-19/Singapore/1110/2020 EPI_ISL_605819 2020-10-25	EPI_ISL_605819
102	hCoV-19/Netherlands/ZH-EMC-552/2020 EPI_ISL_577980 2020-09-08	EPI_ISL_577980
103	hCoV-19/Netherlands/ZH-EMC-607/2020 EPI_ISL_578035 2020-09-17	EPI_ISL_578035
104	hCoV-19/Brazil/SP-405/2020 EPI_ISL_547577 2020-07-06	EPI_ISL_547577
105	hCoV-19/Brazil/DF-0001/2020 EPI_ISL_426580 2020-03-13	EPI_ISL_426580
106	hCoV-19/Brazil/SP-345/2020 EPI_ISL_583495 2020-06-13	EPI_ISL_583495
107	hCoV-19/Brazil/PI-0239/2020 EPI_ISL_470613 2020-03-19	EPI_ISL_470613
108	hCoV-19/Brazil/RN-IEC-162277/2020 EPI_ISL_524798 2020-03-14	EPI_ISL_524798
109	hCoV-19/Brazil/RJ-INCA-C34/2020 EPI_ISL_513542 2020-04-16	EPI_ISL_513542
110	hCoV-19/Brazil/DF-891/2020 EPI_ISL_427298 2020-03-22	EPI_ISL_427298
111	hCoV-19/Brazil/DF-862/2020 EPI_ISL_427297 2020-03-23	EPI_ISL_427297
112	hCoV-19/Brazil/SP-399/2020 EPI_ISL_603029 2020-06-22	EPI_ISL_603029
113	hCoV-19/Ireland/D-NVRL-72IRL12139/2020 EPI_ISL_528465 2020-08-12	EPI_ISL_528465
114	hCoV-19/Brazil/MG-0291/2020 EPI_ISL_470596 2020-04-16	EPI_ISL_470596
115	hCoV-19/Brazil/RJ-00318/2020 EPI_ISL_623121 2020-05-12	EPI_ISL_623121
116	hCoV-19/Brazil/BA-L17-CD359/2020 EPI_ISL_476305 2020-03-31	EPI_ISL_476305
117	hCoV-19/Brazil/RJ-INCA-C181/2020 EPI_ISL_513519 2020-04-30	EPI_ISL_513519
118	hCoV-19/Brazil/AP-IEC-165669/2020 EPI_ISL_524793 2020-04-29	EPI_ISL_524793
119	hCoV-19/Brazil/RJ-0263/2020 EPI_ISL_470630 2020-04-13	EPI_ISL_470630
120	hCoV-19/Brazil/RJ-00364/2020 EPI_ISL_623167 2020-05-04	EPI_ISL_623167
121	hCoV-19/Brazil/RJ-UFRJ-58271/2020 EPI_ISL_492048 2020-06-01	EPI_ISL_492048
122	hCoV-19/Brazil/DF-615i/2020 EPI_ISL_427294 2020-03-13	EPI_ISL_427294
123	hCoV-19/Spain/GA-IBV-98006079/2020 EPI_ISL_541066 2020-07-03	EPI_ISL_541066
124	hCoV-19/Brazil/RJ-00316/2020 EPI_ISL_623119 2020-05-04	EPI_ISL_623119
125	hCoV-19/Argentina/PAIS-A0024/2020 EPI_ISL_430815 2020-04-18	EPI_ISL_430815
126	hCoV-19/Argentina/Heritas_HG001/2020 EPI_ISL_476496 2020-04-22	EPI_ISL_476496
127	hCoV-19/Argentina/Heritas_HG006/2020 EPI_ISL_476561 2020-05-07	EPI_ISL_476561
128	hCoV-19/Argentina/Heritas_HG007/2020 EPI_ISL_476565 2020-05-09	EPI_ISL_476565
129	hCoV-19/Argentina/Heritas-HG023/2020 EPI_ISL_615121 2020-05-26	EPI_ISL_615121
130	hCoV-19/Brazil/RJ-1466/2020 EPI_ISL_456081 2020-04-06	EPI_ISL_456081
131	hCoV-19/Brazil/RJ0272/2020 EPI_ISL_470638 2020-04-17	EPI_ISL_470638
132	hCoV-19/Brazil/RJ0256/2020 EPI_ISL_470624 2020-04-03	EPI_ISL_470624
133	hCoV-19/Brazil/RJ0254/2020 EPI_ISL_470622 2020-04-01	EPI_ISL_470622
134	hCoV-19/Brazil/RJ0251/2020 EPI_ISL_470619 2020-03-27	EPI_ISL_470619
135	hCoV-19/Brazil/RJ0248/2020 EPI_ISL_470616 2020-03-24	EPI_ISL_470616
136	hCoV-19/Brazil/RJ-0720/2020	EPI_ISL_636836
137	hCoV-19/Brazil/RJ-01020/2020	EPI_ISL_636834
138	hCoV-19/Brazil/RJ-06020/2020	EPI_ISL_636737
139	hCoV-19/Brazil/RJ-01020-2/2020	EPI_ISL_636835

140	hCoV-19/Brazil/RJ-0720.2/2020	EPI_ISL_63683
141	hCoV-19/Brazil/RJ-0920/2020	EPI_ISL_63683

718 Table S2 - Genetic characteristics of the SARS-CoV-2 sequences

Characteristics of SARS-CoV-2	Patient B	Patient C	Patient A	Patient B	Patient C	Patient D
sequences	(first	(first	(%	(%	(%	(%
-	episode)	episode)	quasispeci	quasispeci	quasispeci	quasispeci
	(%	(%	es)	es)	es)	es)
	quasispeci	quasispeci				
	es)	es)				
Number of reads and phred quality score	>140K -	>2.600K -	>472K -	>24.400K -	>15.600K -	>20.000K -
	Q35	Q36	Q33	Q36	Q36	Q36
	102.5	2010.05	250.95	19426 125	11752.52	10061 59
Depth of Coverage, average ± SD	$103.5 \pm$	$2018.85 \pm$	$350.85 \pm$	18426.125	$11/53.53 \pm$	$10061.58 \pm$
	1.24	51.55	4.04	± 279.91	237.32	5701.20
Mutations						
NSD2 (AA)						
$\frac{11012}{(AA)}$	v					
	Λ					
	v					
C3037T (none)		X (24.7)	v	v	v	v
C6021T (P1101I)	\mathbf{X} (25.44)	Λ (24.7)	Λ	Λ	Λ	Λ
$\frac{(1101L)}{(1101L)}$	$\Lambda(23.44)$	v				
C7164T (T1482I)		\mathbf{X} (25.1)				
$T7082\Lambda$ (\$1455T)		Λ (23.1)	X (25.3)			
A7384T (01555H)			X(25.3)			
NSD4 (AA)			Λ (23.3)			
C0560T (D220S)			V (25.2)			
2C like proteinese (AA)			A (23.3)			
A 10004C (S284C)		V (25.1)				
NSD6 (AA)		Λ (23.1)				
C11514T (T191I)			v	v	v	v
DNA dependent DNA Delymenoge			Λ	Λ	Λ	Λ
(A A)						
G15406T (A656S)	x					
C14408T (P323L)	X (74.6)	x	x	x	x	x
A14836G (I466V)	X(2477)					21
Helicase (AA)	1 (24.77)					
A17105G (H290R)			X (25 3)			
3'-5'-Exonuclease			A (25.5)			
G18412T (V125F)			x	x	x	x
G18180T (K47N)						X
$18180 \ 18181 \text{ insTG} (K47 \ D48 \text{ insX})$						X
EndoRNAse						
A20265G (none)	X (25 44)					
2'-O-Ribose Methyltransferase	11 (2011)					
A21415T (K53*)	X (24.76)					
Surface glycoprotein	11 (21.70)					
A23403G (D614G)	x	x	x	x	X	X
T22619G (W353G)			X (25 3)			
Membrane glycoprotein						
G26795T (M91I)	Х					
G27112A (S197N)	X (25.01)					
A26555N (E11X)	X(25.44)					
G26556N /A26557N/ G26558N (E12X)	X(25.44)					
C26559N (L13X)	X (25.44)					

27184_27228del			X (24.7)		
TACAGTAAGTGACAA					
CAGATGTTTCATCTCGTTGACTTTC					
AGGTT					
(V221X)					
ORF6					
T27299C (133T)	Х	Х	X (75.3)	Х	Х
27184_27228delTACAGTAAGTGACA			X (24.7)		
AC					
AGATGTTTCATCTCGTTGACTTTCA					
GGTT					
(M1_V9del)					
27196_27228delCAACAGATGTTTCA				X (24.54)	
TCTC					
GTTGACTTTCAGGTT					
(M1_V9del)					
27197_27226delAACAGATGTTTCAT				X (25.56)	
CTC					
GTTGACTTTCAGG					
(M1_Q8del)					
27197_27226delAACAGATGTTTCAT				X (25.56)	
CTC					
GTTGACTTTCAGG					
(V9X)					
A27313G (K38E)					Х
Nucleocapside					
G28881A/G28882G (R203K)	X	Х	Х	Х	Х
G28883C (G204R)	X	Х	Х	X	X
T29148C (I292T)	X	X	X	X	X