# National Ethics Application Form

#### Version 1.1

**PROPOSAL TITLE:** Social Impacts on the Central Queensland Coastal

Community of the Health and Social Needs of Grey

Nomads Visiting or Retiring to the Area

FOR SUBMISSION TO: Central Queensland University's Human Research

Ethics Committee (EC00158)

PROPOSAL STATUS: Complete

**COMPLETION DATE:** 14/07/2008

**APPLICANT:** Dr Wendy Hillman

**INSTITUTION:** Central Queensland University

ADDRESS: Sociology

School of Behvaioural and Social Sciences Faculty of Science, Engineering and Health

Central Queensland University North Rockhampton QLD 4702

CONTACT NUMBERS: Business Hours 0749309289

After Hours Mobile -

Fax 0749306460

#### PROPOSAL DESCRIPTION:

The aims of this research project are:

- 1. To examine the numbers of the cohort of grey nomads who arrive in Central Queensland, and the impacts they have on the local community of Yeppoon.
- 2. To investigate the grey nomads short-term and long-term health and social needs while residing in the area and the impact this has on the local community.
- 3. To explore the options for on-going health care and social needs for this section of the ageing population and,
- 4. To offer informed solutions for the provision of health services and social needs to this burgeoning, ageing, mobile cohort.

Grey nomads can be defined as, a portion of the older population in Australia who choose to use their later years and retirement as opportunities for travel and leisure, mainly within the confines of the Australian continent (Higgs and Quirk 2007: 251).

Background reading and an initial literature review will be conducted to identify the main areas for investigation concerning this research project. The literature examined will inform the construction of a set of open-ended and in-depth questions that will be used to establish the foundation for this project and future research. Once the question set is established, holiday accommodation providers in Yeppoon, where groups of grey nomads are known to congregate, will be approached to gain access to the participant cohort. Once access has been established, contact will be made with at least ten grey nomads, and will be drawn from single people, couples or groups who have been travelling the Australian continent for at least 12 months. Upon identification of the appropriate participants, they will be briefed regarding the project, asked to participate in an interview, assured of their anonymity and other ethical considerations and then interviewed. All the interviews will be recorded. The recorded interviews will then be transcribed. All transcribed interviews will then be imported into the computer software package, NVivo7. NVivo7 will then be run to identify the salient and overarching issues most frequently identified by the grey nomads themselves. Upon completion of the data being interpreted, the findings will be written up as a paper to be delivered at a relevant conference.

#### **POINTS TO REMEMBER:**

This document has been created using the online National Ethics Application Form (NEAF) - available at <a href="https://www.neaf.gov.au">www.neaf.gov.au</a>. The set of questions that appear in this document have been generated as a result of answers you have provided to specific questions in NEAF. For this reason, the contents of this document are unique to this research ethics proposal and should not be used as the basis for future proposals. New proposals for submission to Human Research Ethics Committees must be generated using NEAF online.

Should you wish to use the contents of this document for other purposes:
- You can copy and paste text out of a PDF document in Adobe Acrobat by using the 'Tools' basic' text select' button.

#### 1. TITLE AND SUMMARY OF PROJECT

#### 1.1. Title

#### 1.1.1 What is the formal title of this research proposal?

Social Impacts on the Central Queensland Coastal Community of the Health and Social Needs of Grey Nomads Visiting or Retiring to the Area

#### 1.1.2 What is the short title / acronym of this research proposal (if applicable)?

Health and Social Needs of Grey Nomads in Yeppoon

#### 1.2. Description of the project in plain language

1.2.1 Give a concise and simple description (not more than 400 words), in plain language, of the aims of this project, the proposal research design and the methods to be used to achieve those aims.

The aims of this research project are:

- 1. To examine the numbers of the cohort of grey nomads who arrive in Central Queensland, and the impacts they have on the local community of Yeppoon.
- 2. To investigate the grey nomads short-term and long-term health and social needs while residing in the area and the impact this has on the local community.
- 3. To explore the options for on-going health care and social needs for this section of the ageing population and,
- 4. To offer informed solutions for the provision of health services and social needs to this burgeoning, ageing, mobile cohort.

Grey nomads can be defined as, a portion of the older population in Australia who choose to use their later years and retirement as opportunities for travel and leisure, mainly within the confines of the Australian continent (Higgs and Quirk 2007: 251).

Background reading and an initial literature review will be conducted to identify the main areas for investigation concerning this research project. The literature examined will inform the construction of a set of open-ended and in-depth questions that will be used to establish the foundation for this project and future research. Once the question set is established, holiday accommodation providers in Yeppoon, where groups of grey nomads are known to congregate, will be approached to gain access to the participant cohort. Once access has been established, contact will be made with at least ten grey nomads, and will be drawn from single people, couples or groups who have been travelling the Australian continent for at least 12 months. Upon identification of the appropriate participants, they will be briefed regarding the project, asked to participate in an interview, assured of their anonymity and other ethical considerations and then interviewed. All the interviews will be recorded. The recorded interviews will then be transcribed. All transcribed interviews will then be imported into the computer software package, NVivo7. NVivo7 will then be run to identify the salient and overarching issues most frequently identified by the grey nomads themselves. Upon completion of the data being interpreted, the findings will be written up as a paper to be delivered at a relevant conference.

#### 1.3. Type of Research

1.3.1 Tick as many of the following 'types of research' as apply to this project. Your answers will assist HRECs in considering your proposal. A tick in some of these boxes will generate additional questions relevant to your proposal (mainly because the National Statement requires additional ethical matters to be considered), which will appear in Section 4 of NEAF.

#### This project involves:

[X]	Qualitative research
[ ]	Research on workplace practices or possibly impacting on workplace relationships
[ ]	Research conducted overseas involving participants NS 1.21
[ ]	Research involving deception of participants, concealment or covert observation NS $17$
[ ]	Epidemiological research NS 14
[ ]	Administration of a drug for research but is not clinical research
[ ]	Clinical research (excluding those under the CTN/CTX schemes) NS 12
[ ]	Clinical trial under CTN/CTX scheme NS 12
[ ]	Research involving ionising radiation NS 10
[ ]	Research involving the use of embryos and/or gametes
[ ]	Genetic testing/research NS 16
[ ]	Research involving the collection and / or use of human samples NS 15

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1.4. Research participants				
1.4.1 The National Statement requires additional information include any of the categories of people listed in this quest categories. Answer this question by  (a) selecting any of those categories that are targeted or  (b) selecting any other of these categories that will be ex  (c) selecting any other of these categories who may be a	tion. HRECs need to k likely to be included as cluded from participation	now whether you inter participants in this res on, and	nd to include or to exc	
Where you select a category for inclusion, you will be rec	quired to answer addition	onal questions later in	the form.	
1.4.1 Where any of the following participation requires additional information to be presearch participants' as apply to this process of these boxes will require you to	rovided to the H project. If none a	REC. Tick as many please inc	any of the follo licate this belo	wing 'types of w. A tick in
The participants who may be involved i	n this research	are:		
	a) Intended or targeted	b) Probable coincidental recruitment	c) Design specifically excludes	d) Research has potential to adversely affect this population
People whose primary language is other than English (LOTE) NS 2.26	[ ]	[X]	[]	
Children and/or young people (ie. $< 18$ years) NS 4	[]	[ ]	[X]	[]
People with an intellectual or mental impairment NS 5	[]	[]	[]	[]
People highly dependent on medical care $\overline{\text{NS}}$ 6	[]	[ ]	[X]	[]
People in existing dependent or unequal relationships with any member of the research team, the researcher(s), and/or the person undertaking the recruitment/consent process (eg. student/teacher; employee/employer; warden/prisoner; officer, enlisted soldier; patient/doctor) NS 7	[]	[]	[]	[]
People who belong to a collectivity NS 8	[ ]	[ ]	[ ]	[ ]
Aboriginal and/or Torres Strait Islander peoples NS 9	[ ]	[X]	[]	[ ]
1.5. Research techniques  1.5.1 The research techniques to be use many as apply):  [] Observation of non-identified people [] Covert observation of identifiable people [] Interviews - telephone [X] Interviews - face to face [] Documentary/records analysis [] Focus groups [] Data linkage [] Physical activities / exercises / test [X] Taping - audio / video [] Biomedical / clinical interventions [] Use of complementary or alternations [] Photos [] Use of gene therapy [] Survey instrument / questionnaire [] Use of a medical device	ole in public places beople in non-publ sts , tests, samples ive medicine, or a	ic places	nust tick at lea	st one. Tick as

[ ] Research involving assisted reproductive technologies (ART) NS 11 [ ] None of the above

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[]	Internet / web based research
[ ]	Computer based tests
[ ]	Other techniques

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#### 2. RESEARCHERS

#### 2.0. Applicant

Provide the following information for the person making this application to the HREC.

#### 2.0.1. Name and contact details

2.0.1.1 TitleDr2.0.1.2 First NameWendy2.0.1.3 SurnameHillman2.0.1.4 Mailing Address 1Sociology

2.0.1.5 Mailing Address 2
 2.0.1.6 Mailing Address 3
 School of Behvaioural and Social Sciences
 Faculty of Science, Engineering and Health

2.0.1.7 Mailing Address 4 Central Queensland University

2.0.1.8 Suburb/Town North Rockhampton

2.0.1.9 State
2.0.1.10 Postcode
2.0.1.11 Country, if not Australia
Australia

**2.0.1.12 Organisation Name**Central Queensland University

2.0.1.13 Faculty/department/school or centre name as appropriate (optional)

School of Behavioural and Social Sciences

 2.0.1.14 Position in organisation
 Lecturer

 2.0.1.15 Business Hours Phone Number
 0749309289

 2.0.1.19 Fax Number
 0749306460

2.0.1.20 Email Address w.hillman@cqu.edu.au

#### 2.1. Principal researcher(s)

2.1.0 How many principal researchers are 1 there?

#### 2.1.1. Principal researcher 1

#### 2.1.1. Name and contact details

2.1.1.1 TitleDr2.1.1.2 First NameWendy2.1.1.3 SurnameHillman2.1.1.4 Mailing Address 1Sociology

2.1.1.5 Mailing Address 2
 2.1.1.6 Mailing Address 3
 School of Behvaioural and Social Sciences
 Faculty of Science, Engineering and Health

**2.1.1.7 Mailing Address 4** Central Queensland University

2.1.1.8 Suburb/Town North Rockhampton

2.1.1.9 State QLD
2.1.1.10 Postcode 4702
2.1.1.11 Country, if not Australia Australia

**2.1.1.12 Organisation name**Central Queensland University

2.1.1.13 Faculty/department/school or centre name as appropriate (optional)

School of Behavioural and Social Sciences

2.1.1.14 Position in organisation
 2.1.1.15 Business Hours Phone Number
 2.1.1.19 Fax Number
 D749306460

2.1.1.20 Email Address w.hillman@cqu.edu.au

#### 2.1.2. Describe the qualifications, expertise and experience of the principal

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researcher relevant to this project.

**2.1.2.1 Qualifications** PhD 2005

GCE(TT) 2002 MSocSc 1999 BA(Hons) 1995

2.1.2.2 Expertise Researching - Scoping research sites for most

appropriate place for research project

Data Collection and Analysis - both NVivo and independent

Writing reports Literature Review

Writing journal and conference papers.

Presenting conference papers

**2.1.2.3 Experience**Tutoring and lecturing 1998-2004
Research Assistant 1998-2004

Research Assistant 1998-2004

Post doctoral Research Fellow 2005-2007

Lecturer 2008 to present

Representation of Postgraduate students on CAPA Exec

Current Treasurer of TASA on Exec

2.1.2.4 Name the site(s) for which this principal researcher is responsible.

Responsible for Yeppoon site

2.1.3 Describe the role of the principal researcher in this project.

To undertake and collect all data and research related activities and to analyse and write up data

2.1.4 Is the principal researcher a student?

#### 2.2. Associate researcher(s)

2.2.1 How many known associate researchers are there? (You will be asked to give contact details for these associate researchers at question 2.2.1.1)

**2.2.2** Do you intend to employ other associate No

researchers?

#### 2.3. Other personnel relevant to the research project

2.3.1 How many known other people will play a specified role in the conduct of this research project?

2.3.1.1 Describe the role, and expertise where relevant (e.g. counsellor), of these other personnel.

Research Assistant - to transcribe all recorded interviews

2.3.2 Is it intended that other people, not yet No known, will play a specified role in the conduct of this research project?

#### 2.4. Certification of researchers

2.4.1 Are there any relevant certification, accreditation or credentialing requirements relevant to the conduct of this research?

No

#### 2.5. Training of researchers

2.5.1 Do the researchers or others involved in any aspect of this research project require any additional training in order to undertake this research?

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#### 3. PROJECT

#### 3.1. Duration and location

3.1.1 In how many Australian sites, or site 1 types, will the research be conducted?

Provide the following information for each site or site type (Australian and overseas, if applicable) at which the research is to be conducted

#### 3.1.3. Site / Site Type 1

#### 3.1.3.1 Site / Site Type Name

Yeppoon

#### 3.1.3.2 Site / Site Type Location

Caravan parks in Yeppoon

# 3.1.4. Provide the start and finish dates for the whole of the study including data analysis

Yes

**3.1.4.1 Anticipated start date** 01/07/2008 **3.1.4.2 Anticipated finish date** 01/07/2009

3.1.5 Are there any time-critical aspects of the research project of which an HREC should be

aware?

#### 3.1.5.1.1 Describe the time-critical aspects.

Between June and August 2008, as this is the period when grey nomads travel to Queensland

#### 3.2. Research plan

# 3.2.1 Describe the theoretical, empirical and/or conceptual basis, and background evidence, for the research proposal, eg. previous studies, anecdotal evidence, review of literature, prior observation, laboratory or animal studies. NS 1.13

Recent research suggests that grey nomads form a postmodern community of ageing people who travel Australia. This grey nomad group and others who form the independent budget traveller cohort share a sense of community through interactions on the road and through common sojourning at the same location at certain times of the year. The informal social support groups resulting from this interaction can provide an indication of the health levels and social needs of this particular ageing category. The identification of both social and health needs and outcomes for the grey nomad group under investigation will provide specific information which will then be used to form the basis of a larger research project (Higgs and Quirk 2007: 251)

### 3.2.2 State the aims of the research and the research question and/or hypotheses, where appropriate.

- 1. To examine the numbers of the cohort of grey nomads who arrive in Central Queensland, and the impacts they have on the local community of Yeppoon.
- 2. To investigate the grey nomads short-term and long-term health and social needs while residing in the area and the impact this has on the local community.
- 3. To explore the options for on-going health care and social needs for this section of the ageing population and,
- 4. To offer informed solutions for the provision of health services and social needs to this burgeoning, ageing, mobile cohort.

As this is qualitative research, there is no hypothesis. The research question is: What are the health and social needs of grey nomads visiting or relocation to Yeppoon?

### 3.2.3 Describe how the research design and the methods to be used will enable the research aims to be achieved.

Background reading and an initial literature review will be conducted to identify the main areas for investigation concerning this research project. The literature examined will inform the construction of a set of open-ended and in-depth questions that will be used to establish the foundation for this project and future research. Once the question set is established, holiday accommodation providers in Yeppoon, where groups of grey nomads are known to congregate, will be approached to gain access to the participant cohort. Once access has been established, contact will be made with at least ten grey nomads, and will be drawn from single people, couples or groups who have been travelling the Australian continent for at least 12 months.

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Upon identification of the appropriate participants, they will be briefed regarding the project, asked to participate in an interview, assured of their anonymity and other ethical considerations and then interviewed. All the interviews will be recorded. The recorded interviews will then be transcribed. All transcribed interviews will then be imported into the computer software package, NVivo7. NVivo7 will then be run to identify the salient and overarching issues most frequently identified by the grey nomads themselves. Upon completion of the data being interpreted, the findings will be written up as a paper to be delivered at a relevant conference. This paper will then form the basis for a further journal article to be submitted on completion to an appropriate journal in one of the areas of health, ageing or travel.

#### 3.3. Research significance

### 3.3.1 What is the value of answering the research question and conducting the project? NS 1.13 NS 1.14 NS 1.15

This research is significant because it will add to the knowldge of health and social concerns among eldery travellers within Australia. Background reading and an initial literature review will be conducted to identify the main areas for investigation concerning this research project. The literature examined will inform the construction of a set of open-ended and in-depth questions that will be used to establish the foundation for this project and future research. Upon identification of the appropriate participants, they will be briefed regarding the project, asked to participate in an interview, assured of their anonymity and other ethical considerations and then interviewed. All the interviews will be recorded. The recorded interviews will then be transcribed. All transcribed interviews will then be imported into the computer software package, NVivo7.

If any of the participants expresses concern or is seen to be affected by the research questions they will be given a list of contats who they can access for de-briefing.

All the research will be conducted by the principal researcher.

#### 3.4. Peer review

**3.4.1 Has the research proposal, including**Yes design, methodology and evaluation undergone, or will it undergo, a peer review process?

3.4.1.1.1 Provide details of the review and the outcome. A copy of the letter / notification, where available, should be attached to this application.

Faculty Research Grant Scheme - successful.

The following questions sets (Q 3.5 - 3.11) relate to the collection, use and disclosure of information for research purposes. In answering these questions please ensure that you address all issues relevant to the type of project and type of participants that will be involved in your research project. Refer for guidance to relevant chapters of the National Statement, NEAF Guidance and other NHMRC guidelines as appropriate.

#### 3.5. Source and description of information about participants

3.5.1 Indic	cate the source of the information about participants which will be used in this research
project.	
[X]	Information will be collected directly from the participant.

[ ] Information will be collected from another person about the participant
[ ] Information will be collected by accessing a record or an information database held by an organisation
other than your organisation.
[] Information will be used which you or your organisation collected previously for a purpose other than this
research project.

# 3.5.1.1.0. Information which will be collected for this research project directly from the participant

## 3.5.1.1.1 Describe the information that will be collected directly from participants. Be specific where appropriate.

The same topics of information will be collected from multiple individual participants.

The same set of questions will be asked of each participant, regarding their general health and social status and use of facilities and social networks whilst residing in Yeppoon.

Information about illegal activities will not be collected.

### 3.5.1.1.2 The information collected by the research team about participants will be in the following form(s). Tick more than one box if applicable.

[ ] Identified	
[ ] Potentially identifiable (coded)	
[X] De-identified	

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3.5.1.1.3 Will consent be sought from Yes participants (or for participants from persons with legal authority) for the collection and use of information about them?

#### 3.6. Use of information about participants

3.6.1 Describe how information collected about participants will be used in this project.

Upon completion of the data being collected and interpreted, the findings will be written up as a paper to be delivered at a relevant conference.

This paper will then form the basis for a further journal article to be submitted on completion to an appropriate journal in one of the areas of health, ageing or travel.

This research project will then form the basis for a larger competitive research proposal for an externally funded project. It is proposed that the future project will be undertaken as a comparative study with a comparable community of a similar size (either in Queensland or another State). Outcomes from the bigger project will feed into collaborative projects with other research centres such as CSSR and ISRD. Further, it will provide input into, and findings for, the Healthy Communities flagship of Central Queensland University.

3.6.2 Will any of the information used by the research team be in identified or potentially identifiable (coded) form?

No

3.6.4 List ALL research personnel and others who, for the purposes of this research, will have authority to use or have access to the information and describe the nature of the use or access. Examples of others are: student supervisors, research monitors, pharmaceutical company monitors.

Wendy Hillman - Principal Researcher An as yet unidentified Research Assistant

# 3.7. Storage of information about participants during and after completion of the project

3.7.1 In what formats will the information be stored during the research project? (eg. paper copy, computer file on floppy disk or CD, audio tape, videotape, film)

All information will be stored by either/or Computer file and/or USB stick.

3.7.2 Specify the measures to be taken to ensure the security of information from misuse, loss, or unauthorised access while stored during the research project? (eg. will identifiers be removed and at what stage? Will the information be physically stored in a locked cabinet?)

All information will be stored on a Password protected server, and the memory stick will be in possession of Principal Researcher. All identifiers will be removed at initial transcription stage. Any printed documents will be stored in locked filing cabinets in the Principal Researcher's office.

3.7.3 In what formats will the information be stored after project completion? (eg. paper copy, computer file on floppy disk or CD, audio tape, videotape, film)

All information will be stored by either/or Computer file and/or USB stick.

3.7.4 Specify the measures to be taken to ensure the security of information from misuse, loss, or unauthorised access while stored after project completion (eg. will identifiers be removed and at what stage? Will the information be physically stored in a locked cabinet?)

All information will be stored on a Password protected server, and the memory stick will be in possession of Principal Researcher. All identifiers will be removed at initial transcription stage. Any printed documents will be stored in locked filing cabinets in the Principal Researcher's office.

3.7.5 The information which will be stored at the completion of this project is of the following type(s). Tick more than one box if applicable.

[ ]	Identified
[ ]	Potentially identifiable (coded)
[X]	De-identified

3.7.6 For how long will the information be stored after the completion of the project and why has this period been chosen?

Data will be stored for five years in accordance with the CQU Code of Conduct.

3.7.7 What arrangements are in place with regard to the storage of the information collected for, used in, or generated by this project in the event that the principal researcher ceases to be engaged at the current organisation?

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Negotiations will be undertaken with the Faculty to relocate the data should the principal researcher cease to be engaged at CQU.

# 3.8. Ownership of the information collected during the research project and resulting from the research project

3.8.1 Who owns the information collected for the research project?

CQ University owns the information.

3.8.2 Who is understood to own the information resulting from the research, eg. the final report or published form of the results?

The Principal Researcher will be understood to own the information resulting from the research (e.g. the final report or the published form of the results) as it is the intellectual property of the Principal Researcher.

3.8.3 Does the owner of the information or any other party have any right to impose limitations or conditions on the publication of the results of this project?

#### 3.9. Disposal of the information

3.9.1 Will the information collected for, used in, No or generated by this project be disposed of at some stage?

#### 3.10. Reporting individual results to participants and others

3.10.1 Is it intended that results of the research No that relate to a specific participant be reported to that participant? NS 1.18

3.10.1.2.1 Explain/justify why results will not be reported to participants.

The results will not be reported to the participants as they are a mobile cohort of people, moving around the country, and may never return to the research site again.

However, all participants will offered the opportunity to receive a plain English statement of results.

3.10.2 Is the research likely to produce  $\,^{\,\text{N}}$  information of personal significance to individual participants?

3.10.3 Will individual participant's results be recorded with their personal records? NS 1.20

3.10.4 Is it intended that results that relate to a specific participant be reported to anyone other than that participant?

3.10.5 Is the research likely to reveal a significant risk to the health or well being of persons other than the participant, eg family members, colleagues

Νo

#### 3.11. Dissemination of Final Results

**3.11.1** How is it intended to disseminate the results of the research? eg report, publication, thesis Upon completion of the data being interpreted, the findings will be written up as a paper to be delivered at a relevant conference. This paper will then form the basis for a further journal article to be submitted on completion to an appropriate journal in one of the areas of health, ageing or travel.

3.11.2 Will the confidentiality of participants and Yes their data be protected in the dissemination of research results? NS 1.9

### 3.11.2.1.1 Explain how confidentiality of participants and their data will be protected in the dissemination of research results

Confidentiality of participants and their data will be protected in the dissemination of research results through the removal of all identifying data and the use of pseudonyms to protect participants anonymity.

3.11.3 Is there a risk that the dissemination of No results could cause harm of any kind to

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individual participants - whether their physical, psychological, spiritual, emotional, social or financial well-being, or to their employability or professional relationships - or to their communities?

#### 3.12. Benefits/Risks

In answering the following questions (Q 3.12.0 - 3.12.8) please ensure that you address all issues relevant to the type of participants that will be involved in your research project. Refer for guidance to relevant chapters of the National Statement and other NHMRC guidelines as appropriate.

3.12.0 Does the research involve a practice or No intervention which is an alternative to a standard practice or intervention?

### 3.12.1 What expected benefits (if any) will this research have for other members of the population to which the participants belong?

The expected benefits to the grey nomad population participants are that the findings from the research will provide a basis for improvements in health services and social activities relevant to the cohort whilst in Central Queensland.

#### 3.12.2 What expected benefits (if any) will this research have for the wider community?

This research will provide a basis for the wider community in providing health and social services generally to the grey nomad cohort.

#### 3.12.3 What expected benefits (if any) will this research have for participants? NS 1.6

The expected benefits for participants in this research are that improved health and social service networks will be offered at an enhanced levels throughout communities they are likely to visit.

### **3.12.4** Are there any risks to participants as a Yes result of participation in this research project?

### 3.12.4.1.1 What are those risks? eg. potential for harm, distress, loss of employability, loss of reputation/standing, exposure to civil/legal/other proceedings NS 1.4 NS 1.14

Some of the participants may experience inconvenience and loss of time.

#### 3.12.4.1.2 Explain how these risks will be negated/minimised/managed. NS 1.3

These risks will be minimised through the careful selection of participants who genuinely wish to participate in the research. None of the questions will be of a sensitive nature.

#### 3.12.4.1.3 Explain how these risks will be monitored. NS 1.7

Any instances of negative sequelae will be reported to the Research Office.

#### 3.12.4.1.4 Explain how these risks, if they result in harm to participants, will be reported.

Any instances of negative sequelae will be reported to the Research Office.

### 3.12.5 Explain how the risks/burdens of participation are balanced by the any benefits of the research.

I envisage that all my participants will be more than happy to participate in the research.

# 3.12.6 Is it possible that the research will involve $\,\,$ No the disclosure of unlawful conduct, or concealment of a crime, by individuals or definable groups?

### 3.12.7 Explain how the dignity and wellbeing of participants takes precedence over the expected benefits to knowledge.

Without the participants there would be no research.

Respect for all participants will be maintained at all times.

All participants will have the opportunity to accept or decline to be part of the research study.

# 3.12.8 Are there any other risks involved in this $$\rm N_{\odot}$$ research? eg. to the research team, the organisation, others

# 3.12.9 Is it anticipated that the research will lead No to commercial benefit for the investigator(s) and or the research sponsor(s)?

#### 3.13. Monitoring

#### 3.13.1 What mechanisms do the researchers intend to implement to monitor the conduct and

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progress of the research project?

A Final Report for SEH Faculty will be completed.

Also, a Final Report for Ethics Committee will be completed.

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#### 4. PROJECT SPECIFIC

Your responses to questions at Sections '1.3 - Type of Research' or '1.4 - Research participants' indicate that the HREC will require additional information which is specific to your research project. The following table indicates the question sets relating to the project that you will need to complete. If this is not correct please return to sections 1.3 or 1.4 to amend your answer.

4.9

Research Involving Aboriginal and Torres Strait Islander People

#### 4.9. Research Involving Aboriginal and Torres Strait Islander Peoples

You have indicated that the research involves Aboriginal and/or Torres Strait Islander peoples. You should refer to relevant guidelines as appropriate eg. .Values and Ethics: Guidelines for Ethical Conduct in Aboriginal and Torres Strait Islander Health Research <a href="http://www.nhmrc.gov.au/publications/synopses/e52syn.htm">http://www.nhmrc.gov.au/publications/synopses/e52syn.htm</a>

4.9.1 What is the estimated proportion of Aboriginal and Torres Strait Islanders peoples in the population from which participants will be recruited?

Unknown

- 4.9.2 Will the Aboriginal or Torres Strait Islander No status of participants be recorded?
- 4.9.2.2.1 Explain why the Aboriginal or Torres Strait Islander status of participants will be not recorded

It is unknown whether there will be any ATSI particpants.

According to the ABS, as at 30 June 2006, only 2.5% of the total Australian population identified as Indigenous.

4.9.3 Will there be or has there been a process No of consultation and negotiation between Aboriginal or Torres Strait Islander peoples and the researchers regarding the proposed research?

- 4.9.2.2.1 Explain why there has not been or will not be a process of consultation Not required.
- 4.9.4 Has there been a role for Aboriginal or Torres Strait Islander peoples in the development of the research and or will there be a role for Aboriginal or Torres Strait Islander peoples in the implementation of the research proposal.
- 4.9.2.2.1 Explain why there has been neither a role for Aboriginal or Torres Strait Islander peoples in the development of the research or in the implementation of the research proposal.

Not necessary.

- 4.9.5 Describe how the research demonstrates an understanding of and respect for and engages with the knowledge systems, cultural practices, heritage, beliefs, experiences and values of Aboriginal or Torres Strait Islander individuals and communities. Include, as appropriate:
- how the proposal responds to the diversity between communities eg. Different languages, cultures, histories, decision-making and perspectives,
- how the proposal contributes to and does not erode social and cultural bonds among Aboriginal and Torres Strait Islander participants and communities,
- how the research respects the values based expectations and identity and protects and promotes cultural distinctiveness of Aboriginal and Torres Strait Islander people participants,

Ethnicity is not a factor in the research.

4.9.6 Describe how the research will respect and acknowledge the contribution of Aboriginal or Torres Strait Islander peoples to the research.

Include, as appropriate:

- aknowledgement of cultural property rights in relation to knowledge, ideas, cultural expressions and cultural materials,
- acknowledgement of the sources of information and those who have contributed to the research
- a description of any agreement (preferably written) between the researchers and the community

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regarding research intentions, methods and potential results.

These are not a factor in my research.

4.9.7 Describe how the research will provide benefits to the Aboriginal and Torres Strait Islander peoples.

Include, as appropriate:

- a description of how the research relates to the health priorities and needs of participant communities,
- a description of benefits for participants and the communities, including establishment and/or enhancement of capacities, opportunities and outcomes beyond the project,
- a description of how the research shows an intent to contribute to the advancement of the health and well being of participants and their communities

This is not a factor in my research.

#### 5. PARTICIPANTS

#### 5.1. Participant description

5.1.1 How many participant groups are involved in this research project?

5.1.2 Expected total number of participants in this project at all sites

10

#### 5.1.3. Group 1

5.1.3.1 Group name for participants in this group

Grey nomads

5.1.3.2 Expected number of participants in this group

10

5.1.3.3 Age range

45-85

5.1.3.4 Other relevant characteristics of this participant group

Semi retired/retired travellers

5.1.3.5 Why are these characteristics relevant to the aims of the project?

Because the responses of grey nomads are likely to be different from those of young people, teenagers and middle aged groups.

# 5.1.4. Your response to questions at Section '1.4 - Research Participants' indicates that the following participant groups are excluded from your research. If this is not correct please return to section 1.4 to amend your answer.

Children and/or young people (ie. <18 years)

People in existing dependent or unequal relationships with any member of the research team, the researcher(s), and/or the person undertaking the recruitment/consent process (eg. student/teacher; employee/employer; warden/prisoner; officer, enlisted soldier; patient/doctor)

5.1.4.1 Explain why this group(s) of people is specifically excluded from the research project.

Under 18s are not grey nomads

It s unlikely that the researcher will have any relationship with the participants.

#### 5.2. Participant experience

5.2.1 Provide a concise detailed description, in not more than 200 words, in terms which are easily understood by the lay reader, of what the participants will experience.

The participants will experience a cordial and happy (approximately) 45-60 minutes answering the open ended interview questions from the research project and interacting with the Principal Researcher conducting the interviews.

#### 5.3. Relationship of researchers to participants

5.3.1 Specify the nature of any relationship, existing or possible, between the research team or an organisation involved in the research and the potential participants.

None

5.3.2 Describe what steps, if any, will be taken to ensure that the relationship does not impair participants' free and voluntary consent and participation in the project.

All steps will be taken to ensure that all participants feel under no obligation to participate in the research and voluntarily consent to take part.

5.3.3 Describe what steps, if any, will be taken to ensure that decisions about participation in the research do not impair any existing or foreseeable future relationship between participants and researcher or organisations.

No foreseeable future relationships are anticipated with participants.

#### 5.4. Recruitment

5.4.1 What processes will be used to identify potential participants?

Once the question set is established, holiday accommodation providers in Yeppoon, where groups of grey

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nomads are known to congregate, will be approached to gain access to the participant cohort.

#### 5.4.3 Describe how initial contact will be made with potential participants.

Once access has been established, contact will be made with at least ten grey nomads, and will be drawn from single people, couples or groups who have been travelling the Australian continent for at least 12 months. Upon identification of the appropriate participants, they will be briefed regarding the project, asked to participate in an interview, assured of their anonymity and other ethical considerations and then interviewed.

5.4.2 Is it proposed to 'screen' or assess the suitability of the potential participants for the study?

Nο

5.4.4 Is an advertisement, e-mail, website, letter No or telephone call proposed as the form of initial contact with potential participants?

5.4.5 If it became known that a person was No recruited to, participated in, or was excluded from the research, would that knowledge expose the person to any disadvantage or risk?

5.4.6 Will the research involve the intentional Νo recruitment of any groups whose welfare, rights, beliefs, perceptions, customs or cultural heritage requires specific regard? NS 1.2

#### 5.5. Consent process

5.5.1 Will consent for participation in this research be sought from all participants? Yes

5.5.1.2.1 Will there be participants who have capacity to give consent for themselves?

Yes

5.5.1.2.1.1 What mechanisms/assessments/tools are to be used, if any, to determine each of these participant's capacity to decide whether or not to participate?

Each participant will be provided with an Information Sheet explaining the nature of the research project.

5.5.1.2.1.2.1 Will there be participants who do Νo not have capacity to give consent for themselves?

The following questions relate to participants who are able to provide consent and also to participants for whom consent may be provided by a person with legal authority to do so.

When answering these questions you need to describe any differences in the processes followed, or the documentation used, for different groups of participants in your proposal, e.g. processes and documentation for users of facilities/services will differ from those for providers of those facilities/services. Where your proposal involves participants with an intellectual or mental impairment, or people in dependent relationships, additional questions about their consent appear at 6.3 and 6.5 respectively.

5.5.1.1.3 Describe the consent process, ie how participants or those deciding for them will be informed about, and choose whether or not to participate in, the project.

Both verbal and written consent will be elicited from participants.

- 5.5.1.1.4 If a participant or person on behalf of a participant chooses not to participate, are there specific consequences of which they should be made aware, prior to making this decision?
- 5.5.1.1.5 If a participant or person on behalf of a participant chooses to withdraw from the research, are there specific consequences of which they should be made aware, prior to giving consent?
- 5.5.1.1.6 Specify the nature and value of any proposed incentive/payment (eg. movie tickets, food vouchers) or reimbursement (eg travel expenses) to participants.

- 5.5.1.1.7 Explain why this offer will not impair the voluntary nature of the consent, whether by participants' or persons deciding for their behalf. NS 1.10 Not applicable.
- 5.5.1.1.8 Provide the name and/or position of the contact person for any concerns in relation to the ethical conduct of the research / complaints process? NS 2.39 2.42

Office of Research CQU

5.5.1.1.9 Will a participant or person on their Yes behalf who withdraws from the research be able to withdraw data about the participant?

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#### 6. PARTICIPANTS SPECIFIC

# <u>6.1. Research conducted in Australia involving persons whose primary language is other than English (LOTE)</u>

You have indicated that the project involves persons whose primary language is other than English (LOTE)

6.1.1 Describe what steps will be taken to ensure each participant's free and voluntary consent and participation in the project given that the person's language is other than English ? NS 2.26

It is expected that grey nomads may, in certain cases, be from CALD groups. Therefore, it is anticiapted that their SECOND language would be English.

6.1.2 In what language(s) will the research be conducted?

[X] English

[ ] Other

**6.1.3 Will participants be provided with written** Yes information in the language in which the research will be conducted?

#### 7. RESOURCES

#### 7.1. Project Funding / Support

# 7.1.1. Indicate how the project will be funded? Indicate whether funding is confirmed or sought and whether there will be a budget shortfall.

#### 7.1.1.1. External competitive grant

**7.1.1.1 Confirmed or Sought?** Not Sought

#### 7.1.1.2. Internal competitive grant

**7.1.1.2.1 Confirmed or Sought?** Confirmed

**7.1.1.2.2** % of Project 100

#### 7.1.1.3. Sponsor

**7.1.1.3.1 Confirmed or Sought?** Not Sought

#### 7.1.1.4. By researcher's department / organisation

**7.1.1.4.1 Confirmed or Sought?** Not Sought

#### 7.1.1.5. Other

**7.1.1.5.1 Confirmed or Sought?** Not Sought

#### 7.1.1.6. Shortfall

**7.1.1.6.1 Confirmed or Sought?** 

**7.1.1.6.2** % of Project

#### 7.1.1.2.0. Internal competitive grant

#### 7.1.1.2.1 Name of granting body / name of grant

SEH Faculty Research Grant

#### 7.1.1.2.2 Research title on grant

Social Impacts on the Central Queensland Coastal Community of the Health and Social Needs of Grey Nomads Visiting or Retiring to the Area

#### 7.1.1.2.3 Grant number assigned by granting body (if known)

CQUNI SC2903 SCENHL UF

### 7.1.1.2.4 Indicate the extent to which the scope of the grant and the scope of this HREC application are aligned.

This is the only phase of this research. The grant funds this phase of the research.

#### 7.1.1.2.5 Comments

Not applicable.

#### 7.1.2 Will the project be supported in other ways No

eg. in-kind support/equipment by an external

party eg. sponsor

#### 7.2. Duality of Interest

### 7.2.1 Describe any commercialisation or intellectual property implications of the funding/support arrangement.

Unlikely to be any commercialisation and intellectual property implications arising from the research.

Yes

#### 7.2.2 Does the funding/support provider(s) have

a financial interest in the outcome of the

research? NS 2.21

### 7.2.3 Does any member of the research team have any affiliation with the provider(s) of

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## funding/support, or a financial interest in the outcome of the research? $\,$ NS 2.21

#### 7.2.3.1.1 Describe affiliation(s) and/or interest(s).

Researcher employed by CQU.

## 7.2.3.1.2 Do you consider the relationship between the research team and the funding/support provider constitutes:

- [ ] a potential conflict of interest
- [] a potential duality of interest
- [X] no ethical issue

#### 7.2.3.1.2.1.1 Provide an explanation.

Funded by the Faculty.

7.2.4 Does any other individual or organisation  $$\sf No$$  have an interest in the outcome of this research  ${\sf NS}\,2.21$ 

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#### 8. APPROVALS

#### 8.1. Ethical review

Some HRECs may require researchers to provide information additional to that contained in a NEAF proposal. For this reason, it is prudent to check whether the HRECs to whom you propose to submit this proposal require additional information.

8.1.1 To how many Australian HRECs (representing site organisations or the researcher s organisation) is it intended that this research proposal be submitted?

A list of NHMRC registered Human Research Ethics Committees (HRECs), along with their institutional affiliations and contact details is available on the NHMRC website at the following web address; http://www.nhmrc.gov.au/ethics/human/hrecs/information.htm#a4.

#### 8.1.1.1. HREC 1

8.1.1.1.1 Name of HREC

Central Queensland University's Human Research Ethics Committee (EC00158)

# 8.1.1.1.1. Provide the start and finish dates for the research for which this HREC is providing ethical review.

1

8.1.1.1.1.1 Anticipated start date or date 01/07/2008 range

**8.1.1.1.1.2** Anticipated finish date or 30/06/2009 date range

8.1.1.1.2 For how many sites at which the research is to be conducted will this HREC provide ethical review?

#### 8.1.1.1.1.2.1. Site 1

8.1.1.1.1.2.1.1 Name of site

Yeppoon

8.1.1.1.2.2 Which of the researchers involved in this project will conduct the research at this site?

Principal Researcher(s)

Associate Researcher(s)

Dr Wendy Hillman

8.1.2 Have you previously submitted an application, whether in NEAF of otherwise, for ethical review of this research project to any other HRECs?

No

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#### 9. DECLARATIONS AND SIGNATURES

#### 9.1 Project Title

Social Impacts on the Central Queensland Coastal Community of the Health and Social Needs of Grey Nomads Visiting or Retiring to the Area

#### 9.2 Human Research Ethics Committee to which this application is made

Central Queensland University's Human Research Ethics Committee (EC00158)

#### 9.3 Signatures and undertakings

#### Applicant / Principal Researchers (including students where permitted)

I/we certify that:

- All information is truthful and as complete as possible.
- I/we have had access to and read the National Statement on Ethical Conduct in Research Involving Humans
- the research will be conducted in accordance with the National Statement.
- the research will be conducted in accordance with the ethical and research arrangements of the organisations involved.
- I/we have consulted any relevant legislation and regulations, and the research will be conducted in accordance with these.
- I/we will immediately report to the HREC anything which might warrant review of the ethical approval of the proposal (NS 2.37), including:
  - serious or unexpected adverse effects on participants;
  - proposed changes in the protocol; and
  - unforseen events that might affect continued ethical acceptability of the project.
- I/we will inform the HREC, giving reasons, if the research project is discontinued before the expected date of completion (NS 2.38);
- I/we will not continue the research if ethical approval is withdrawn and will comply with any special conditions required by the HREC (NS. 2.45);
- I/we will adhere to the conditions of approval stipulated by the HREC and will cooperate with HREC monitoring requirements. At a minimum annual progress reports and a final report will be provided to the HREC.

/ /

#### Applicant / Principal Researcher(s)

Dr Wendy Hillman

Central Queensland University	Signature		Date
Dr Wendy Hillman			/
Central Queensland University	Signature		Date
Heads of departments/school	ls/research orga	ınisation	
I/we certify that:  - I/we are familiar with this proje  - the resources required to unde  - the researchers have the skill a appropriate training as specified in	rtake this project a and expertise to und	re available;	oriately or will undergo
Title First name		Surname	
Position		Organisation name	
// Date		 Signature	

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#### 10. ATTACHMENTS

#### 10.1 List of Attachments

Core Attachments	Attachments which may be required/appropriate.
Recruitment/invitation	Copy of advertisement, letter of invitation etc
Participant Information	Copy or script for participant Copy or script for parent, legal guardian or person responsible as appropriate
Consent Form	Copy for participant For parent, legal guardian or person responsible as appropriate For, optional components of the project eg. genetic sub study
Peer review	Copy of peer review report or grant submission outcome
HREC approvals	Copy of outcome of other HREC reviews

Attachments specific to project or participant group	Attachments which may be required/appropriate.
Research conducted in the workplace or possibly impacting on workplace relationships	Evidence of support/permission from workplace where research will be conducted
People whose primary language is other than English (LOTE)	English translation of participant information/consent forms
Children and/or young people (ie. < 18 years)	Information/consent form for parent, legal guardian or person responsible
People highly dependent on medical care	Information/consent form for legal guardian or person responsible
Aboriginal and/or Torres Strait Islander peoples	Evidence of support / permission of elders and/or other appropriate bodies
Interviews face to face	Copy of script/outline

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#### 10.2 Participant information elements

#### Core Elements

Provision of information to participants about the following topics should be considered for all research projects.

Core Elements	Issues to consider in participant information
About the project	Full title and / or short title of the project Plain language description of the project Purpose / aim of the project and research methods as appropriate Demands, risks, inconveniences, discomforts of participation in the project Outcomes and benefits of the project Project start, finish, duration
About the investigators / organisation	Researchers conducting the project (including whether student researchers are involved) Organisations which are involved / responsible Organistions which have given approvals Relationship between researchers and particpants and organisations
Participant description	How and why participants are chosen How participants are recruited How many participants are to be recruited
Participant experience	What will happen to the particant, what will they have to do, what will they experience? Benefits to individual, community, and contribution to knowledge Risks to individual, community Consequences of participation
Participant options	Alternatives to participation Whether participation may be for part of project or only for whole of project Whether any of the following will be provided: counselling, post research follow-up, or post research access to services, equipment or goods
Participants rights and responsibilities	That participation is voluntary That participants can withdraw, how to withdraw and what consequences may follow Expectations on participants, consequences of non-compliance with the protocol How to seek more information How to raise a concern or make a complaint
Handling of information	How information will be accessed, collected, used, stored, and to whom data will be disclosed Can participants withdraw their information, how, when Confidentiality of information Ownership of information Subsequent use of information Storage and disposal of information
Unlawful conduct	Whether researcher has any obligations to report unlawful conduct of participant
Financial issues	How the project is funded Declaration of any duality of interests Conspensation entitlements Costs to participants Payments, reimbursements to participants Commercial application of results
Results	What will particpants be told, when and by whom

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Core Elements	Issues to consider in participant information
	Will individual results be provided What are the consequences of being told or not being told the results of research How will results be reported / published Ownership of intellectual property and commercial benefits
Cessation	Circumstances under which the participation of an individual might cease Circumstances under which the project might be terminated

#### **Research Specific Elements**

Provision of information to participants about the following topics should be considered as may be relevant to the research project.

Specific to project or participant group	Additional issues to consider in participant information
Aboriginal and/or Torres Strait Islander peoples	describe consultation process to date and involvement of leaderswhether ATSI status will be recorded

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